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IEEE-ISTO

Printer Working Group

IPP Fax Project

Standard for IPPFAX/1.0 Protocol

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Abstract: This document specifies the IPPFAX/1.0 protocol. The IPPFAX requirements [ifx-req] are derived from the requirements for Internet Fax [RFC2542].

In summary, IPPFAX is used to provide a synchronous, reliable exchange of image Documents between clients and servers. The primary use envisaged of this protocol is to provide a synchronous image transmission service for the Internet. Contrast this with the Internet FAX protocol specified in [RFC2305] and [RFC2532] that uses the SMTP mail protocol as a transport.

The IPPFAX/1.0 protocol is a specialization of the IPP/1.1 [RFC2911], [RFC2910] protocol supporting a subset of the IPP operations with increased conformance requirements in some cases, some restrictions in other cases, and some additional REQUIRED attributes. The IPPFAX Protocol uses the 'ippfax' URL scheme (instead of the 'ipp' URL scheme) in all its operations. Most of the new attributes defined in this document MAY be supported by IPP Printers as OPTIONAL extensions to IPP as well. In addition, IPPFAX/1.0 REQUIRES the support of the IPP Event Notification mechanism [ipp-ntfy] using the 'ippget' Pull Delivery Method [ipp-get-method].

An IPPFAX Printer object is called a Receiver. A Receiver MUST support at least the PDF/is as specified in [ifx-pdfis] which is defined for the 'application/pdf' document format MIME type . A Print System MAY be configured to support both the IPPFAX and IPP protocols concurrently, but each protocol requires separate Printer objects with distinct URLs.

31 This document is available electronically at: [wd-ifx10-20031210.pdf, .doc](#)

32 A version showing the changes from the previous version is available at: [wd-ifx10-20031105-rev.pdf](#)

33 The latest version of this specification is available at: [ftp://pwg.org/pub/pwg/QUALDOCS/wd-ifx10-latest.pdf, .doc](http://pwg.org/pub/pwg/QUALDOCS/wd-ifx10-latest.pdf, .doc)

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90 3) put the following two lines in the message body:

91 subscribe ifx

92 end

93

94 Implementers of this specification are encouraged to join the IFX Mailing List in order to participate in any
95 discussions of clarifications or review of registration proposals for additional names.

96

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182 **1**

183 1 Introduction

184 This document specifies the IPPFAX/1.0 protocol. The IPPFAX requirements [ifx-req] are derived from
185 the requirements for Internet Fax [RFC2542].

186 In summary IPPFAX is used to provide a synchronous, reliable exchange of image documents between
187 clients and servers. The primary use envisaged of this protocol is to provide a synchronous image
188 transmission service for the Internet. Contrast this with the Internet FAX protocol specified in [RFC2305]
189 and [RFC2532] that uses the SMTP mail protocol as a transport.

190 IPPFAX is primarily intended as a method of supporting a synchronous, secure, high quality document
191 distribution protocol over the Internet. It therefore discusses paper, pages, scanning and printing, etc.
192 There is, however, no requirement that the input documents come from actual paper nor is there a
193 requirement that the output of the process be printed paper. The only conformance requirements are those
194 associated with the exchange of data over the network.

195 The IPPFAX/1.0 protocol is a specialization of the IPP/1.1 [RFC2911], [RFC2910] protocol supporting a
196 subset of the IPP operations with increased conformance requirements in some cases, some restrictions in
197 other cases, and some additional REQUIRED attributes. The IPPFAX Protocol uses the 'ippfax' URL
198 scheme (instead of the 'ipp' URL scheme) for all operations. Most of the new attributes defined in this
199 document MAY be supported by IPP Printers as OPTIONAL extensions to IPP as well. Only the attributes
200 defined in this document that start with the "ippfax-" prefix MUST NOT be used in the IPP Protocol (see
201 section 1.3). In addition, IPPFAX/1.0 REQUIRES the support of the IPP Event Notification mechanism
202 [ipp-ntfy] using the 'ippget' Pull Delivery Method [ipp-get-method]. See section 1 for a comparison of IPP
203 and IPPFAX.

204 An IPPFAX Printer object is called a Receiver. A Receiver MUST support at least PDF/is [ifx-pdfis]
205 which is defined for the 'application/pdf' document format MIME type. A Print System MAY be
206 configured to support both the IPPFAX and IPP protocols concurrently for a single output device (or
207 multiple output devices), but each protocol requires separate Printer objects with distinct URLs. Note - It
208 is assumed that the reader is familiar with IPP/1.1 [RFC2911], [RFC2910], [RFC3196], and [ipp-iig-bis].
209 See section **Error! Reference source not found.**

210 An IPPFAX client is called a Sender. The user of the Sender is called the Sending User. The Sending
211 User either (1a) loads the Document into the Sender or (1b) causes the Sender to generate the
212 Document data by means outside the scope of this standard, (2) indicates the Receiver's network
213 location, and (3) starts the exchange.

214 The target market for an IPPFAX receiver is a midrange imaging device that can support the minimum
215 memory requirements that are required by the data format PDF/is, but the image format is structured in
216 such a way that the Receiver is not required to include a disk or other permanent storage.

217 1.1 Operations Supported

218 All IPPFax Senders and Receivers MUST support the following operations:

- 219 1. Get-Printer-Attributes - If the document-format-version is not PDF/is or the media is not ISO-
220 A4 or NA-letter, then the Sender MUST verify that the Receiver can support the alternate
221 attributes. Rational: Using Get-Printer-Attributes would avoid rejection of the job which is
222 important if the document data is very large.
- 223 2. Print-Job - Sender MUST submit the IPPFAX job with a single document (Create-Job, Send-
224 document and Send-URI and Print-URI MUST NOT be supported by Senders or Receivers).
- 225 3. Get-Job-Attributes - The Sender MUST support and MUST use this operation to check for
226 successful job completion unless the Sending User wishes otherwise. Job-History MUST be
227 retained by the Receiver for at least 5 minutes after job completion. See 4.3.7.2 of RFC2911 for
228 printer object Job-History discussion.
- 229 4. Job-Cancel – Receivers MUST support this operation but only for authenticated Administrators
230 or Operators.

231 All IPPFax Senders and Receivers MUST NOT support any other IPP operations including job
232 operations and administrative operation.

233 1.2 Typical exchange

234 This section lists a typical exchange of information between a Sender and a Receiver using the four
235 operations listed in section 1.1.

- 236 1. The Sending User determines the network location of the Receiver (value of the “printer-uri”
237 operation attribute) – see section 4.1. This document does not specify how the Sending User does
238 this. Possible methods include directory lookup, search engines, business cards, network
239 enumeration protocols such as SLP, etc. See section **Error! Reference source not found.** for the
240 Generic Directory Schema for IPPFAX.
- 241 2. The Sending User either (1) loads the Document into the Sender or (2) causes the Sender to
242 generate the Document data by means outside the scope of this document, indicates the Receiver’s
243 network location and starts the exchange.
- 244 3. The Sender MUST validate whether or not the Receiver is an IPPFAX-capable Printer and
245 SHOULD determine the basic capabilities of the Receiver, including document format – see
246 section 7.1.

- 247 4. The Sender selects the most appropriate data format depending on the Receiver's basic capabilities.
248 The PDF/is data format is described in detail in the "PDF Image-Streamable (PDF/is)" specification
249 [ifx-pdfis].
- 250 5. The Sender MUST validate whether or not the Receiver will accept all of the attributes of the
251 IPPFAX Job from this Sending User using the Validate-Job operation. See section 1. If the
252 Receiver rejects the Validate-Job operation, the Sender can avoid sending the data.
- 253 6. The Sender either (1) scans the Document and converts it into an acceptable data format or (2)
254 generates or forwards the Document representation in an acceptable data format – see section 6.5.
- 255 7. As part of the Validation and Job creation, the following identities are determined and exchanged:
256 Sender, Sending User, Receiver, and Receiving User – see section 8.
- 257 8. The Sender transmits the Document data to the Receiver – see section **Error! Reference source**
258 **not found.**
- 259 9. The Sending User receives a confirmation that the Receiver received the Document data – see
260 section 9.3.
- 261 10. In addition the Sender MUST support and the Sending User MAY choose to receive an Event
262 Notification that the Document has been successfully Delivered – see sections **Error! Reference**
263 **source not found.** and **Error! Reference source not found.**
- 264 If the Sender is unable to initiate or complete the exchange then it is assumed that the Sender will perform
265 some form of retry. The mechanisms used and the user-visible behavior in this case is an implementer's
266 choice and beyond the scope of this document.

267 1.3 Namespace used for attributes

268 Most of the new attributes defined in this document are intended to be used by both the IPP and IPPFAX
269 protocols. As such, these attributes have neither the "ipp-" nor the "ippfax-" prefix in their names. The
270 few attributes that are intended only for use in the IPPFAX protocol start with the "ippfax-" prefix in order
271 to indicate their limited scope of usage. Such attributes (e.g., "ippfax-versions-supported") MUST NOT be
272 supported by the IPP Protocol, i.e., MUST NOT be supported by IPP Printer objects.

273
274 On the other hand, unless explicitly specified otherwise, all existing IPP attributes, including future IPP
275 extensions, apply to the IPPFAX Protocol as well, including attributes which have an "ipp-" prefix. For
276 example, the IPP/1.1 "ipp-attribute-fidelity" operation attribute (see [RFC2911] section 3.2.1.1 and 3.2.1.2)

277 and the IPP/1.1 “ipp-versions-supported” Printer Description attribute (see [RFC2911] section 4.4.14) are
278 also used in the IPPFAX protocol, even though they have the “ipp-” prefix.

279 **2 Terminology**

280 This section defines the following additional terms that are used throughout this standard.

281 **2.1 Conformance Terminology**

282 Capitalized terms, such as **MUST**, **MUST NOT**, **REQUIRED**, **SHOULD**, **SHOULD NOT**, **MAY**,
283 **NEED NOT**, and **OPTIONAL**, have special meaning relating to conformance to this specification. These
284 terms are defined in [RFC2911] section 13.1 on conformance terminology, most of which is taken from
285 RFC 2119 [RFC2119]. In order to help the reader compare and contrast the IPP and IPPFAX protocols,
286 this document uses lower case “must”, “may” etc., to reproduce IPP Protocol conformance requirements
287 for IPP clients and IPP Printer objects as stated in other documents. If such reproduction in this document
288 contradicts an IPP document, it is a mistake, and that IPP document prevails.

289 **2.2 Other Terminology**

290 This standard defines a logical model of an IPPFAX interchange. The following terms are introduced and
291 capitalized in order to indicate their specific meaning:

292 **IPP Protocol** The protocol defined in [RFC2911] and [RFC2910] and any IPP Protocol Extension
293 document (see section 17). For the IPP/1.1 Protocol each operation request must use the ‘ipp’ URL
294 scheme.

295 **IPPFAX Protocol** The protocol defined in this or a future revision document and any future extension
296 document. For the IPPFAX Protocol each operation request **MUST** use the ‘ippfax’ URL scheme (see
297 section 4.1 and 15). Unless a specific version number is appended to “IPPFAX”, such as “IPPFAX/1.0”,
298 the term IPPFAX applies to all versions.

299 **Printer object (or Printer)** A hardware or software entity that accepts protocol operation requests and
300 returns protocol responses. A Printer object **MAY** be: (1) an IPP Printer object or (2) an IPPFAX Printer
301 object, **DEPENDING ON IMPLEMENTATION** (see section 3.3), but **MUST NOT** be both (since they
302 support some different operations and attributes and are really two different kinds of Print Services). A
303 Printer object **MAY** support multiple URLs with different security, authentication, and/or access control
304 (see [RFC2911] sections 4.4.1, 4.4.2, 4.4.3, and 8). However, each URL for a Printer object **MUST**
305 support the same operations and attributes with the same values, except as restricted depending on the

306 security, authentication, and/or access control implied by the URL. In other words, each URL for a given
307 Printer object is offering the same Print Service.

308 Note: For brevity, this document uses the term “Receiver” instead of “IPPFAX Printer object”.
309 This document uses the term “Printer object” (and “Printer”) when the statement is intended to
310 apply to a Printer object that MAY support the IPP Protocol or the IPPFAX protocol (but not both).

311 **Print Service** The print functionality offered by a Printer object. Several different Printer objects MAY
312 offer the same Print Service.

313 **IPP Printer object** A Printer object that supports the IPP Protocol and offers the IPP Print Service (by
314 definition).

315 **Receiver** The Printer object that accepts IPPFAX protocol operations and receives the Document sent by
316 the Sender. A Receiver offers the IPPFAX Print Service (by definition).

317 **Print System** All of the Printer objects on a single managed host network node. A Print System MAY
318 support IPP and IPPFAX protocols concurrently (see section 3.3) for a single output device (or multiple
319 output devices), but each protocol requires separate Printer objects with distinct URLs.

320 **client** A hardware and/or software entity that initiates protocol operation requests and accepts responses.
321 A client MAY be: (1) an IPP client, (2) an IPPFAX client, or (3) both. However, this document uses the
322 term “Sender”, instead of “IPPFAX client”. This document uses the term “client” when the statement is
323 intended to apply to a client that MAY support the IPP Protocol, the IPPFAX protocol, or both protocols.

324 **IPP client** A client that uses the IPP Protocol to interact with an IPP Printer object.

325 **Sender** A client that uses the IPPFAX Protocol to query a Receiver and transmit a Document to that
326 Receiver.

327 **Document** The electronic representation of a set of one or more pages that the Sender sends to the
328 Receiver.

329 **Sending User** The person interacting with the Sender.

330 **Receiving User** The intended human recipient of the Document being sent by the Sender to the Receiver.

331 **IPP Job** A job submitted by an IPP client to an IPP Printer object using the IPP Protocol.

332 **IPPFAX Job** A job submitted by a Sender to a Receiver using the IPPFAX Protocol.

333 **PDF/is** The file format defined by [ifx-pdfis].

334 **Delivered** The Receiver has either printed the Document and delivered the last sheet to the output bin or
335 has forwarded the Document to some other system.

336 The terminology defined in [RFC2911], such as **attribute, operation, request, response, operation**
337 **attribute, Printer Description attribute, Job Description attribute, integrity, and privacy** is also used
338 in this document with the same capitalization conventions and semantics.

339 The terminology defined in the IPP “Event Notifications and Subscriptions” specification [ipp-ntfy] and
340 “The ‘ippget’ Delivery Method for Event Notifications” specification [ipp-get-method], such as **Event**
341 **Notification, Event, Subscription Object, Per-Job Subscription, Per-Printer Subscription, Push**
342 **Delivery Method, and Pull Delivery Method** is also used in this document with the same capitalization
343 conventions and semantics.

344 **3 IPPFAX Model**

345 This sub-section defines the IPPFAX Model and its relationship to the IPP Protocol and Model.

346 **3.1 Printer Object Relationships**

347 A Print System MAY support one or more Printer objects on a single network host. RFC 2911 [RFC2911]
348 defines the relationship between Printer objects and output devices to be many to many (see [RFC2911]
349 section 2.1). So one Printer object can represent one or more output devices and an output device can be
350 represented by one or more Printer objects. The same relationships hold for the IPPFAX Protocol so that
351 the relationship between Receivers and output devices is many to many.

352 **3.2 A Printer object with multiple URLs**

353 For a Printer object that has multiple URLs, the multiple URLs MUST only be aliases for the Printer
354 object, not connections to different Print Services. In other words, the semantics of operations and
355 attributes accessed by the different URLs for a given Printer object MUST differ only in the security,
356 authentication, and/or access control depending on the URL used.

357 The three parallel “printer-uri-supported” (1setOf uri), “uri-authentication-supported” (1setOf type2
358 keyword), and “uri-security-supported” (1setOf type2 keyword) Printer Description attributes (see
359 [RFC2911] sections 4.4.1, 4.4.2, and 4.4.3, respectively) MUST contain the URLs, authentication, and
360 security, respectively, supported by the Printer object. See also the OPTIONAL “printer-xri-supported”
361 (collection) Printer Description attribute [ipp-set-ops], which, if supported, MUST be used to set these
362 three parallel attributes using the protocol. [ipp-set-ops] and other system administrator operations MUST

363 only be supported if TLS client authentication has been performed and the system administrator role has
364 been confirmed.

365 Note: For a Printer object that supports multiple URLs, neither the IPP/1.1 protocol nor the IPPFAX/1.0
366 protocol provides a way for the administrator to Set or Get the values of Printer attributes whose values
367 MAY depend on the URL used and/or MAY depend on the authenticated role of the requesting user. So,
368 for example, there is no way to set the differing values of the “operations-supported” Printer attribute (see
369 section 6.4) that depend on the URL using the IPP or IPPFAX protocol. Providing such means is left for
370 future work as a single specification for use by both IPP and IPPFAX.

371 **3.3 A Print System supporting both IPP and IPPFAX** 372 **protocols**

373 From section 3.2, if a Print System supports both IPP and IPPFAX, it MUST do so with separate Printer
374 objects, not with a single Printer object with IPP and IPPFAX URLs. Each such Printer object MUST
375 support either IPP or IPPFAX, but not both. In other words, each URL for a Printer object MUST have the
376 same scheme, namely, ‘ipp’ or ‘ippfax’, i.e., MUST NOT have some URLs with the ‘ipp’ scheme and other
377 URLs with the ‘ippfax’ scheme. The reason for this requirement for separate Printer objects for IPP and
378 IPPFAX is because a URL and its Printer object is intended to represent a network resource offering a
379 particular type of service, not several different types of services.

380 Note: it is possible to support IPP and IPPFAX Printer objects with a single piece of code in a Print
381 System with conditional branching to handle the differences in conformance requirements between IPP and
382 IPPFAX. For example, such conditional branching could depend on the “printer-uri” operation attribute
383 supplied by the client in each request to the Print System. See section 1 for a comparison of IPP/1.1 and
384 IPPFAX/1.0.

385 **4 Common IPPFAX Operation Attribute Semantics**

386 This section describes the IPPFAX/1.0 operation attribute semantics that are common to all operations.
387 IPPFAX/1.0 does not define any new operations. Instead, IPPFAX/1.0 semantics are provided using
388 existing IPP operations in [RFC2911], [ipp-ntfy], [ipp-get-method], [ipp-set-ops], etc. with increased
389 conformance requirements as specified in this document.

390 **4.1 printer-uri (uri) operation attribute ([RFC2911]** 391 **section 3.1.5)**

392 This operation attribute specifies the transfer path to the Receiver for the operation. As in IPP/1.1, the
393 client MUST supply the “printer-uri” operation attribute in every IPPFAX request (see [RFC2911] section

426 number” parameter with the value that it supports that is closest to the version number supplied by the
427 client in the “version-number” parameter in the request.

428 **4.3 ippfax-version-number (type2 keyword) operation** 429 **attribute**

430 The value of this operation attribute indicates the version of the IPPFAX Protocol and encoding that the
431 Sender is requesting and the Receiver is returning. The Sender MUST supply this operation attribute in
432 every request and the Receiver MUST return this operation attribute in every response. This operation
433 attribute MUST be placed in the Operation Attributes Group *immediately* after the operation attributes
434 whose order is specified in IPP/1.1 [RFC2911]. The semantics of the “ippfax-version-number” operation
435 attribute serves the same purpose for the IPPFAX Protocol as the IPP/1.1 “version-number” parameter
436 serves for the IPP Protocol (see [RFC2911] section 3.1.8).

437 If the Sender does not supply this attribute, the Receiver MUST reject the operation, MUST return the
438 ‘client-error-bad-request’ status code, and SHOULD return the ‘ippfax-version-number’ attribute name
439 keyword in the Unsupported Attributes Group (see section **Error! Reference source not found.**).

440 For IPPFAX version 1.0 as specified in this document, the value of the “ippfax-version-number” operation
441 attribute MUST be ‘1.0’ keyword value. By including an IPPFAX version number in the client request, it
442 allows the Sender to identify which version of IPPFAX the Sender is requesting to be used, i.e., the version
443 whose conformance requirements the Sender may be depending upon the Receiver to meet.

444 The Receiver MUST indicate the IPPFAX versions supported using the “ippfax-versions-supported”
445 (1setOf type2 keyword) Printer Description attribute (see section 6.3).

446 As in IPP/1.1, if the Receiver does not support the major version number supplied by the Sender, i.e., the
447 major version field of the “ippfax-version-number” operation attribute does not match any of the values of
448 the Printer’s “ippfax-versions-supported” (see section 6.3), the Receiver MUST respond with a status code
449 of ‘server-error-version-not-supported’ along with the closest version number that is supported (see
450 [RFC2911] section 13.1.5.4). If the major version number is supported, but the minor version number is
451 not, the Receiver SHOULD accept and attempt to perform the request (or reject the request if the operation
452 is not supported), else it rejects the request and returns the ‘server-error-version-not-supported’ status code.
453 In all cases, the Receiver MUST return the “ippfax-version-number” operation attribute in the response
454 with the value that it supports that is closest to the version number supplied by the Sender in the request.

455 There is no version negotiation per se. However, if after receiving a ‘server-error-version-not-supported’
456 status code from a Receiver, a Sender SHOULD try again with a different version number. A Sender MAY
457 also determine the versions supported either from a directory (see section **Error! Reference source not**
458 **found.**) or by querying the Printer object’s “ipp-versions-supported” (see section 6.2) and “ippfax-

459 versions-supported” attributes (see section 6.3) to determine which IPP and IPPFAX versions are
460 supported, respectively, as part of IPPFAX.

461 The Sender MUST send and the Receiver MUST check both the IPP (see section 4.2) and IPPFAX version
462 numbers supplied by the Sender in each request, not just the IPPFAX version number.

463 **5 Get-Printer-Attributes operation semantics**

464 The Receiver MUST support the Get-Printer-Attributes operation as defined in [RFC2911] as extended by
465 the semantics defined in this section.

466 **5.1 document-format (mimeMediaType) operation** 467 **attribute ([RFC2911] section 3.2.5.1)**

468 This operation attribute identifies the document-format for which the Receiver MUST return the supported
469 values of the requested attributes. The semantics of this Get-Printer-Attributes operation attribute is the
470 same as for IPP ([RFC2911] section 3.2.5), with the following conformance requirement changes:

- 471 1. The Sender SHOULD supply the “document-format” operation attribute (IPP client may) and, if
472 supplied, the value MUST be “application/PDF”.

473 **6 IPPFAX Printer Description Attributes**

474 This section defines the IPPFAX Printer Description attributes and the IPP Printer Description attributes
475 whose semantics are augmented for IPPFAX.

476 Table 1 lists all the IPPFAX conformance requirements for IPP and IPPFAX Printer Description attributes
477 whose semantics are defined in this document.

478 All Printer Description attributes not listed in Table 1 have the same conformance requirements as defined
479 in IPP/1.1 [RFC2911] or IPP Notifications [ipp-ntfy]. Any other Printer Description attributes defined in
480 other documents are OPTIONAL for IPPFAX.

481 See section 9.2 for the Receiver conformance requirements for the “xxx-supported”, “xxx-default”, and
482 “xxx-ready” Job Template Printer attributes.

483

Table 1 - Printer Description attributes conformance requirements

Attribute Name (attribute syntax)	IPP Printer support [RFC 2911]	IPP Fax Receiver support	Section
printer-uri-supported (1setOf uri) *	must	MUST	6.1, Error! Reference source not found.
ipp-versions-supported (1setOf type2 keyword) *	must	MUST***	6.2
ippfax-versions-supported (1setOf type2 keyword)	MUST NOT	MUST***	6.3
operations-supported (1setOf type2 enum) *	must	MUST	6.4
document-format-supported (1setOf mimeType) *	must	MUST	6.5
document-format-version-supported (1setOf text(127)) **	----	MUST	6.6
digital-signature-supported (1setOf type2 keyword) **	----	MUST	6.7
pdl-override-supported (type2 keyword) *	must	MUST	6.8

484 * These IPP/1.1 attributes are defined in [RFC2911], but have enhanced semantics defined in this
485 document.

486 ** These attributes are defined in [?JobX extensions?], but have enhanced or constrained semantics defined
487 in this document.

488 *** A Printer object that supports IPPFAX MUST NOT support IPP as well, but MUST support the “ipp-
489 versions-supported” attribute to indicate the version(s) of IPP that are supported *as part of IPPFAX*
490 *operations*. A Print System that supports both IPP and IPPFAX MUST support them as separate
491 Printer objects (see section 3.3).

492

6.1 printer-uri-supported (1setOf uri) ([RFC 2911] section 4.4.1)

493

494 This attribute contains the set of target URIs that the Receiver supports, i.e., the URI values that a client
495 can supply as values of the “printer-uri” target operation attribute in requests. As in IPP/1.1, the Receiver
496 MUST support this Printer Description attribute (see [RFC2911] section 4.4.1). However, a single Printer
497 object MUST NOT support both ‘ipp’ and ‘ippfax’ schemed URIs. Therefore, the schemes MUST all be
498 ‘ipp’ or all ‘ippfax’. In order for a Print System to support both IPP and IPPFAX, it MUST use separate
499 Printer objects (see section 3.3).

500 If a Print System supports both the IPP and IPPFAX protocols, it is RECOMMENDED that the Print
501 System support Printer objects whose target URIs differ only in the scheme. Then a client that queries the
502 “printer-uri-supported” attribute of one of the Printer objects with one of these two protocols, can query the

503 same Print System with the other protocol just by changing the scheme to see if the other protocol is
504 supported (as a separate Printer object).

505 The Receiver MUST support the ‘ippfax’ URL scheme (see section 15) and only the ‘ippfax’ URL scheme
506 for this attribute (see section 3.3).

507 **6.2 ipp-versions-supported (1setOf type2 keyword)** 508 **([RFC2911] section 4.4.14)**

509 This attribute identifies the version or versions of the IPP Protocol that this Receiver supports as part of the
510 IPPFAX Protocol (rather than indicating that the Receiver supports the IPP Protocol), including major and
511 minor versions, i.e., the version numbers for which this Receiver meets the conformance requirements.
512 The Receiver MUST support this Printer Description attribute. The Receiver MUST compare the “version-
513 number” parameter (see section 4.2), with the values of this attribute in order to determine whether the
514 Printer supports the IPP version requested by the Sender *as part of the IPPFAX Protocol*.

515 Standard keyword values are (from [RFC2911]):

516 ‘1.1’: The “IPP part” of the IPPFAX operations meets the protocol and encoding conformance
517 requirements of IPP version 1.1 as specified in [RFC2911], [RFC2910], and IPP extensions.

518
519 Note: As in [RFC2911] section 4.4.14, these version keyword values violate the syntax for
520 keywords, by starting with an ASCII digit, instead of an ASCII lower case letter.

521 **6.3 ippfax-versions-supported (1setOf type2 keyword)**

522 This attribute identifies the version or versions of the IPPFAX Protocol that this Receiver supports,
523 including major and minor versions, i.e., the version numbers for which this Receiver meets the
524 conformance requirements. The support of this attribute indicates that this Printer object is a Receiver as
525 opposed to an IPP Printer object. The Receiver MUST support this Printer Description attribute. An IPP
526 Printer object MUST NOT support this attribute, since a Printer object MUST NOT support both IPP and
527 IPPFAX (see section 3.3).

528 The Receiver MUST compare the “ippfax-version-number” operation attribute (see section 4.3) supplied
529 by the Sender in each request, with the values of this attribute in order to determine whether the Receiver
530 supports the IPPFAX version requested by the Sender.

531 Since a Printer object MUST NOT support both the IPP and IPPFAX protocols, there is no ambiguity with
532 requiring a Receiver to support both the “ipp-versions-supported” and “ippfax-versions-supported” Printer
533 Description attributes (see sections 6.2 and 6.3). If a Printer object supports the “ipp-versions-supported”

534 attribute, but not the “ippfax-versions-supported” attribute, then by definition that Printer object supports
535 the IPP Protocol. If a Printer object supports the “ippfax-versions-supported” Printer Description attribute,
536 then by definition that Printer object is a Receiver and supports the IPPFAX Protocol and not the IPP
537 Protocol. For such a Printer object, the “ipp-versions-supported” attribute indicates the versions of IPP that
538 it supports *as part of IPPFAX operations*, rather than indicating that it supports the IPP Protocol (by itself).

539 Standard keyword values are:

540 ‘1.0’: Meets the conformance requirements of IPPFAX version 1.0 as specified in this document.

541

542 Note: As in [RFC2911] section 4.4.14, these version keyword values violate the syntax for
543 keywords, by starting with an ASCII digit, instead of an ASCII lower case letter. However, for
544 consistency with IPP, these IPPFAX version keyword values are defined compatibly with the IPP
545 version keyword values.

546 **6.4 operations-supported (1setOf type2 enum) ([RFC** 547 **2911] section 4.4.15)**

548 This attribute identifies the set of supported operations for this Receiver and contained Job objects. As in
549 IPP/1.1, the Receiver **MUST** support this Printer Description attribute (see [RFC2911] section 4.4.15).

550 The values of this attribute **MAY** depend on the URL supplied in the “printer-uri” operation attribute
551 and/or **MAY** depend on the authority of the authenticated requesting user. For example, a Receiver that
552 supports administrative operations **MUST NOT** support administrative operations for use by end users, but
553 such a Receiver **MAY** return the administrative operation enums to end users.

554 **The list of operations is restricted! This section should list all the operations that we allow/disallow**

555 **6.5 document-format-supported (1setOf** 556 **mimeMediaType) ([RFC 2911] section 4.4.22)**

557 This attribute identifies which document formats the Receiver supports. As in IPP/1.1, the Receiver **MUST**
558 support this Printer Description attribute (see [RFC2911] section 4.4.22).

559 Since most document formats don’t give the “blind interchange” guarantee of document presentation
560 fidelity for all implementations and configurations, the IPPFAX document formats supported **MUST** be a
561 subset of the IPP document formats supported.

562 Both the Sender and Receiver **MUST** only support application/pdf.

563 **6.6 document-format-version-supported (1setOf**
564 **text(127))**

565 **CHANGE: Reference the “Job X extensions” Specification.**

566 This attribute identifies which PDF formats the Receiver supports. A Receiver MUST support this
567 attribute, a Sender MAY support this attribute.

568 Both the Sender and Receiver MUST support “PDF/is-1.0”. The Receiver MAY support other versions of
569 PDF and if it does then the Receiver MUST only list formats that it fully supports.

570 **6.7 digital-signatures-supported (1setOf type2**
571 **keyword)**

572 This attribute identifies which digital signature technologies are supported by the Receiver. A Receiver
573 MUST support this Printer Description attribute.

574 **Digital-signature and digital-signature-supported will move to [jobX] specification. Reference them from**
575 **that specification**

576 If the Receiver cannot validate the digital signature or if the digital signature fails to verify, then the
577 Receiver MUST notify the Receiving User using an implementation specific method.

578 **6.8 pdl-override-supported (type2 keyword)**

579 This attribute expresses the ability for a particular Receiver implementation to either attempt to override
580 document data instructions with IPPFAX attributes or not.

581 This attribute MUST have the value ‘attempted’ or a higher quality IANA-registered value (such as a
582 hypothetical ‘guaranteed’ value), and the Receiver MUST attempt to override at least the media.

583
584
585 NOTE: RFC2911 only requires that the attribute be supported but the supported may be not-attempted

586 **7 Sender Validation of the Receiver’s Capabilities**

587 This section describes how a Sender MUST first validate the target Printer as a Receiver and determines its
588 basic capabilities (section 7.1) and then validate the IPPFAX Job (section 1).

589 **NOTE: This WHOLE section needs revision and possible wholesale deletion**

590
591

7.1 Sender Validates the target Printer as a Receiver and determines its basic capabilities

592 The order of presentation in Table 2 is the likely order that a Sender would check the values, though the
593 Sender can request all of the attributes in a single Get-Printer-Attributes operation (and the Receiver MAY
594 return them in any order as specified in [RFC2911]).

595 **Table 2 - Receiver Attributes that the Sender validates with Get-Printer-Attributes**

Attribute	Ref.	Sender action
Operation attributes:		
printer-uri	4.1	Sender MUST validate whether or not the Get-Printer-Attributes operation with a “printer-uri” target URL using the ‘ippfax’ scheme locates a valid Receiver destination.
Printer Description attributes:		
ippfax-versions-supported	6.3	Sender MUST check whether the Printer supports the IPPFAX Protocol on the target URL by checking whether or not the Printer supports this attribute, i.e., validate that the Printer is a Receiver.
document-format-version-supported	6.6	If the Sender would like to use a document format other than PDF/is, then the Sender MUST verify that the desired version of PDF is supported by the Receiver..
Job Template Printer attributes:		
media-supported	9.2.1.1	If the Sending user requests a paper size other than A4 or Letter then the Sender MUST verify that the requested paper size is supported by the receiver
printer-resolutions-supported	Error! Reference source not found.	Sender SHOULD check which resolutions are supported, so that it can use the highest resolution supported by the Receiver.

596 **Table needs review**

597 **8 Identity exchange**

598 **Need to move these in with the other operation attributes (section 9)and remove section 8**

599 This section defines the attributes that the Sender and the Receiver can use to identify each to the other and
 600 to identify the Sending User and the Receiver User. Table 3 lists these attributes and shows the Sender and
 601 Receiver conformance requirements.

602 **Table 3 - Summary of Identify Exchange attributes**

Attribute	Sender supplies *	Receiver supports
sending-user-vcard (text(MAX))	MAY	MUST
receiving-user-vcard (text(MAX))	SHOULD	MUST
sender-uri (uri)	MUST	MUST

603 * Sender supplies in a Print-Job,operation.

604 **8.1 sending-user-vcard (text(MAX)) operation/Job**
 605 **Description attribute**

606 This operation attribute identifies the Sending User in MIME vCard v3.0 [RFC2426, RFC2425] format.
 607 The Sender MAY send this operation attribute in an IPPFAX Print-Job operation. The Receiver MUST
 608 support this Print-Job operation attribute according to the vCard v3.0 specification and MUST populate the
 609 job's corresponding Job Description attribute. The Receiver MUST support MAX (1023) octets of text.
 610 However, the Receiver MAY ignore any image, logo, and sound parts, in which case it MUST still accept
 611 the Print-Job request and return the 'successful-ok-ignored-or-substituted-attributes' status code (see
 612 [RFC2911] section 13.1.2.2), but NEED NOT return the attribute and its ignored values in the Unsupported
 613 Attributes Group.

614 For a sample vCard see section 1. If the Sender supplies the attribute, then the Receiver MUST use its
 615 value to populate the Job object's corresponding Job Description attribute of the same name.

616 The Receiver MAY choose to use this information on a job start and end sheet (banner page) for the job.
 617 As in IPP/1.1, whether or not the Receiver prints a separate job start sheet depends on the "job-sheets" Job
 618 Template attribute, if supported. The Sender can request the Receiver to print a separate start sheet if the
 619 Receiver's "job-sheets-supported" Printer attribute (see [RFC2911] section 4.2.3) contains a value other
 620 than 'none'. The Sender can suppress the Receiver's separate start sheet if the Receiver's "job-sheets-
 621 supported" Printer attribute contains the 'none' value. If the Sender omits the "job-sheets" Job Template
 622 attribute, the Receiver's "job-sheets-default" value will be used.

623 **8.2 receiving-user-vcard (text(MAX)) operation/Job**
 624 **Description attribute**

625 This operation attribute identifies the intended Receiving User in MIME vCard format [RFC2426,
 626 RFC2425]. The Sender SHOULD send this operation attribute in an IPPFAX Print-Job operation. The
 627 Receiver MUST support this Print-Job operation attribute and MUST populate the job's corresponding Job

628 Description attribute. The Receiver MUST support MAX (1023) octets of text. However, the Receiver
629 MAY ignore any image, logo, and sound parts, in which case it MUST still accept the Print-Job request and
630 return the ‘successful-ok-ignored-or-substituted-attributes’ status code (see [RFC2911] section 13.1.2.2),
631 but NEED NOT return the attribute and its ignored values in the Unsupported Attributes Group.

632 For a sample vCard see section 1. If the Sender supplies the attribute, then the Receiver MUST use its
633 value to populate the Job object’s corresponding Job Description attribute of the same name.

634 The Receiver MAY choose to use this information on a job start and end sheet (banner page) for the job.
635 See discussion under section 8.1.

636 **8.3 sender-uri (uri) operation/Job Description attribute**

637 This operation attribute identifies the Sender in a similar manner to the way a Sending Station ID is used in
638 a GSTN fax device. The value of this identity is not specified in this document but MUST uniquely
639 identify the Sender device and be traceable to the Sender. The manufacturer of the Sender MUST ensure
640 that the customer configures the Sender with a value for this attribute that is a syntactically valid URI
641 before first attempt to send an IPPFAX Job.

642 The Sender MUST send this operation attribute with the configured value in an IPPFAX Print-Job
643 operation. The Receiver MUST support this Print-Job operation attribute and MUST populate the job’s
644 corresponding Job Description attribute.

645 The Receiver MUST use its value to populate the Job object’s corresponding Job Description attribute of
646 the same name. This value is only a comment (since it can be spoofed) and is used for logging purposes
647 and has nothing to do with authentication (for which, see section 11). This attribute is more akin to an
648 email ‘Reply-To’ field.

649 **9 Submission using Print-Job**

650 The Sender and Receiver MUST support creating IPPFAX Jobs using the Print-Job. The Sender and
651 Receiver MUST NOT support print by reference, i.e., MUST NOT support the Print-URI and Send-URI
652 operations, since they do not provide the same security and assurance of accessibility as pushing the
653 document data does.

654 **9.1 IPP/1.1 Print-Job operation attributes**

655 Table 4 lists the operation attributes for Print-Job operations for Senders, IPP/1.1 Printers, and Receivers.
656 Differences in Sender conformance from IPP/1.1 clients are indicated with footnotes. Any other IPP
657 operation attributes defined in other documents are OPTIONAL for IPPFAX.

658

Table 4 - [RFC 2911] Print-Job operation attributes

Operation attribute	Section	Sender supplies	IPP/1.1 [RFC 2911]Printer supports	Receiver supports
attributes-charset (charset)		MUST	must	MUST
attributes-natural-language (naturalLanguage)		MUST	must	MUST
printer-uri (uri) *	4.1	MUST	must	MUST
requesting-user-name (name(MAX)) *		SHOULD	must	MUST
job-name (name(MAX))		MAY	must	MUST
ipp-attribute-fidelity (boolean) *	9.1.1	MUST with 'true' value ¹	must	MUST
document-name (name(MAX)) *		MAY	must	MUST
compression (type3 keyword) *		MAY	must	MUST
document-format (mimeMediaType) *	9.1.2	MUST ²	must	MUST
document-format-version (type2 keyword)	9.1.3	MUST ³	may	MUST
document-natural-language (naturalLanguage) *		MAY	may	MAY
job-k-octets (integer(0:MAX))		MAY	may	MAY
job-impressions (integer(0:MAX))		MAY	may	MAY
job-media-sheets (integer(0:MAX))		MAY	may	MAY
sending-user-vcard (1setOf text(MAX))	8.1	MAY ³	may	MUST
receiving-user-vcard (text(MAX))	8.2	SHOULD ³	may	MUST
sender-uri (name(MAX))	8.3	MUST ³	may	MUST

659 * As in IPP/1.1, these attributes are NOT Job Description attributes, only Operation attributes.

660

661 9.1.1 ipp-attribute-fidelity operation attribute ([RFC2911] section 3.2.1.1)

662 In IPP/1.1, this operation attribute indicates whether or not the client requires the Printer to support all Job
 663 Template attributes and values supplied. The Sender MUST supply this operation attribute in the Print-Job
 664 operations and the value MUST be 'true'. A Receiver MUST validate and support this operation attribute.

¹ [RFC2911] does not require the client to supply the "ipp-attribute-fidelity" and allows the client to supply either the 'true' or 'false' value.

² The [RFC2911] does not require the IPP client to supply the "document-format" operation attribute.

³ These attributes were not defined in [RFC2911].

665 Note: [RFC2911] does not REQUIRE the IPP Client to supply this operation attribute and allows the client
666 to supply the ‘false’ value.

667 If the Sender does not supply this attribute or supplies the ‘false’ value, the Receiver MUST reject the
668 operation, MUST return the ‘client-error-bad-request’ status code, and SHOULD return the ‘ipp-attribute-
669 fidelity’ attribute name keyword in the Unsupported Attributes Group (see section **Error! Reference
670 source not found.**).

671 **9.1.2 document-format (mimeMediaType) operation attribute ([RFC2911] section 3.2.1.1)**

672 This operation attribute identifies the MIME Media Type of the document that the Sender is sending. The
673 Sender MUST supply this operation attribute in the Print-Job operation and the value MUST be
674 “application/PDF”. A Receiver MUST validate that the value of attribute is “application/pdf”. Note:
675 [RFC2911] does not REQUIRE the IPP Client to supply this operation attribute.

676 If the Sender does not supply this attribute, the Receiver MUST reject the operation, MUST return the
677 ‘client-error-bad-request’ status code, and SHOULD return the ‘document-format’ attribute name keyword
678 in the Unsupported Attributes Group (see section **Error! Reference source not found.**).

679 Because only one document-format MAY be supported, attribute coloring is not relevant for IPPFax. If the
680 Sender desires to send a different format, then it should use a different transmission protocol than IPPFax.

681 **9.1.3 document-format-version (type2 keyword) operation attribute ([RFC2911] section 682 3.2.1.1)**

683 This attribute should be taken from the JobX specification. **Revise this section. Reference the JobX spec.**

684 **(Add somewhere a mention that Sender must support generating and transmitting PDF/is-1.0. Maybe in
685 section 1 to make it clear that it is a basic part of IPPFAX?)**

686 This operation attribute identifies the type2 keyword of the pdf document that the Sender is sending. The
687 Sender MUST supply this operation attribute in the Print-Job operation. A Receiver MUST validate and
688 support this operation attribute.

689 If the Sender supplies a value that the Receiver does not support, i.e., not a value of the Receiver’s
690 “document-format-versions-supported” Printer Description attribute, the Receiver MUST reject the
691 operation and return the ‘client-error-document-format-not-supported’ status code.

692 Standard keyword values are defined in section 6.6.

693

9.2 Job Template Attributes (for Print-Job)

694 Table 5 lists all of the Job Template attributes that have enhanced or constrained semantics for IPP Fax.
695 IPP Fax Senders SHOULD NOT supply Job Template attributes except Media[RFC2911].

696 As in [RFC2911], the term “Job Template attribute” is actually up to four attributes: the “xxx” Job
697 attribute, and the “xxx-default”, “xxx-supported”, and possibly the “xxx-ready” Printer attributes. Any
698 other IPP Job Template attributes defined in other documents are OPTIONAL for IPPFAX.

699 As in IPP/1.1, if a Receiver supports the “xxx” Job Template attribute, then it MUST support the
700 corresponding “xxx-default” (if defined) and “xxx-supported” Printer attributes as well, and MAY support
701 the “xxx-ready” attribute (if defined).

702 In Table 5, if the “Sender supply” and “Receiver support” columns contain an explicit single value, the
703 Sender MAY send and the Receiver MAY support the Job Template attribute for an IPPFAX Job. When
704 supported, the Sender MUST send and the Receiver MUST support only the indicated value; that is, there
705 is only one allowed value. Each such single value has been selected as the value for the attribute that would
706 correspond to the *expected behavior* if the attribute were not supported at all. If these attributes are
707 supplied in an IPPFAX Job with any other value, the Receiver MUST reject the Print-Job operation (since
708 the value isn’t supported and “ipp-attribute-fidelity” MUST be ‘true’).

709 If the Receiver supports this attribute, the Receiver MUST return only the indicated value in the Get-
710 Printer-Attributes response for the corresponding “xxx-supported” and “xxx-default” Printer attributes.
711 Note: These are attributes which might degrade the appearance of the document or provide a significantly
712 non-FAX feature if the non-default value were supplied and supported, such as “number-up” = 2 or “job-
713 priority” = 100, respectively.

714 In Table 5, if the “Sender supply” and “Receiver support” columns contain “MUST NOT”, the Sender
715 MUST NOT supply and the Receiver MUST NOT support the Job Template attribute for an IPPFAX Job.
716 If these attributes are supplied in an IPPFAX Job, the Receiver MUST reject the Print-Job operation (since
717 the attribute isn’t supported and “ipp-attribute-fidelity” MUST be ‘true’). When querying the Receiver
718 with the Get-Printer-Attributes operation, the corresponding “xxx-default” and “xxx-supported” MUST
719 NOT be returned. Note: These are attributes which might degrade the appearance of the document or
720 provide a significantly non-FAX feature and do not have an obvious value which corresponds to the
721 behavior when the attribute is not supported at all, such as media-input-tray-check (type3 keyword |
722 name(MAX)) or output-bin (type2 keyword | name(MAX)).

723

724

725

Table 5 - IPPFAX Semantics for Job Template Attributes

Job Template attribute	Sender supply /Receiver support	IPP Fax behavior	Reference
copies (integer(1:MAX))	MUST NOT	1 copy	[RFC2911]
finishings (1setOf type2 enum)	MUST NOT	Administrator's choice	[RFC2911]
job-hold-until (type3 keyword name(MAX))	MUST NOT	'no-hold'	[RFC2911]
job-priority (integer(1:100))	MUST NOT	50	[RFC2911]
job-sheets (type3 keyword name(MAX))	MUST NOT	Administrator's choice	[RFC2911]
media (type3 keyword name(MAX))	MUST (see section 9.2.1)		[RFC2911]
multiple-document-handling (type2 keyword)	MUST NOT	No multiple document jobs	[RFC2911]
number-up (integer(1:MAX))	MUST NOT	1	[RFC2911]
orientation-requested (type2 enum)	MUST NOT		[RFC2911]

Job Template attribute	Sender supply /Receiver support	IPP Fax behavior	Reference
page-ranges (1setOf rangeOfInteger(1:MAX))	MUST NOT	1:MAX	[RFC2911]
print-quality (type2 enum)	MUST NOT	Administrator's choice	[RFC2911]
printer-resolution (resolution)	MUST NOT (see section Error! Reference source not found.)		[RFC2911]
sides (type2 keyword)	MUST NOT	Administrator's choice	[RFC2911]

726 **9.2.1 media (type2 keyword | name(MAX)) Job Template attribute ([RFC2911] section**
727 **4.2.11)**

728 This Job Template attribute ([RFC2911] section 4.2.11) identifies the medium to be used for all sheets of
729 the job. The Sender MUST supply and the Receiver MUST support the “media” Job Template attribute in
730 the Print-Job requests. The Receiver MUST support the “media-default”, and “media-supported” Printer
731 attributes and MAY support the “media-ready” Printer attribute.

732 The keyword values MUST be Media Size Self Describing names defined in the PWG Standardized Name
733 standard [pwg-media].

734

735 **NOTE: change references to A4 to ‘iso_a4_210x297mm’ and Letter to ‘na_letter_8.5x11in’**

736

737 At a minimum, an IPPFAX receiver MUST be able to render the sizes ‘na_letter_8.5x11in’
738 ‘iso_a4_210x297mm’ and be able to print on at least one of those two sizes. The Receiver MAY
739 scale down at most 10% (PDF/ps directives may prohibit this scaling), overflow to another page, or
740 truncate. If the Receiver does truncate then it MUST notify the Receiving User. Any scaling
741 performed MUST be isomorphic.

742 PDF Crop boxes SHOULD be used when the Sender knows that the imageable region is less than the
743 media size. If the crop box is the union of the lesser size of Letter and A4 minus ¼ of an inch, then the
744 Sender can be sure that the majority of Receivers can print the complete image without loss of data.
745 However, this does mean that there is the possibility that data may be lost.

746

747 Standard keyword values are defined in section 9.2.1.1.

748 **9.2.1.1 media-supported Job Template Printer attributes**

749 The following standard keywords MUST be supported. Any other paper sizes supported MUST use the
750 self-describing names as defined in ([5101.1]):

751 ‘na_letter_8.5x11in’

752 ‘iso_a4_210x297mm’

753 ‘choice_iso_a4_210x297mm_na_letter_8.5x11in’ - represents both ‘na_letter_8.5x11in’ and

754 ‘iso_a4_210x297mm’ and indicates that either is acceptable. See [jobx].

755

9.3 Delivery Confirmation using the Print-job response

756 The Sender knows when the Receiver has successfully received the entire Document when the Receiver
757 returns the ‘successful-ok’ status code in the Print-Job. The Sender SHOULD then inform the Sending
758 User by means outside the scope of this standard that the document has successfully been received. .

759

9.4 Originator identifier image

760 The Sender MUST place an originator identifier, i.e., the value of the “sender-uri” attribute (see section
761 8.3), along with the date and time, in one of the following places, DEPENDING ON
762 IMPLEMENTATION:

763 1. On a cover page automatically generated by the Sender that is pre-pended before the first page
764 of user data in the PDF document.

765 2. Merged with the first page of the document.

766 3. At the top of every page of the sent Document.

767 The Sender MAY include additional data (Sending User, Receiver identity, etc.).

768 **Reference PDF/is method.**

769 **10 IPPFAX Implementation of other IPP operations**

770 **Other IPP operations? I think not!**

771 Section 5 defined the semantic requirements for the Get-Printer-Attributes operation, section 7 defined the
772 semantic requirements for Validate-Job, and section 9 defined the semantic requirements for Print-Job
773 operations for IPPFAX. This section defines the IPPFAX semantics and conformance requirements for the
774 other IPP operations.

775 IPPFAX restricts the use of IPP in certain cases in order to make attaching a Receiver to the Internet a safe
776 option – see section 11.

777 The Receiver MUST fully support the Print-Job, and Get-Printer-Attributes operations, as defined by this
778 document. The following subsections define restrictions and conformance requirements placed on the
779 Cancel-Job, Get-Job-Attributes, and Get-Jobs, operations. For a conforming IPPFAX Receiver
780 implementation, the support for each of the IPP operations is indicated in Table 6 and Table 7.

781 An IPPFax receiver MUST NOT support any optional features of IPP unless explicitly stated in this
782 document.

783 **10.1 Operation Conformance Requirements**

784 Table 6 lists the conformance requirements for Printer operations for (1) an IPP/1.1 Printer ('ipp' URL), (2)
785 the non-privileged IPPFAX Sender, (3) an IPPFAX Receiver receiving a request from a non-privileged
786 User, and (4) an IPPFAX Receiver receiving a request from an authenticated and authorized operator or
787 administrator, if the Receiver supports operator/administrator authentication and authorization.

788 Table 7 lists the conformance requirements for Job and Subscription operations for (1) an IPP/1.1 Printer
789 ('ipp') URL, (2) the non-privileged IPPFAX Sender which MUST be on the same URL as the job was
790 created (the target "printer-uri" MUST match the Job's "job-printer-uri" Job Description attribute), (3) an
791 IPPFAX Receiver receiving a request from the Job or Subscription Object Owner, (4) from some other
792 non-privileged user, and (5) if the operation is supported at all - from an authenticated and authorized
793 operator or administrator.

794 The Receiver **MUST** support Subscription Creation for the Print-Job operations that it supports, but **NEED**
 795 **NOT** support any other notification operations, such as Create-Job-Subscriptions, Create-Printer-
 796 Subscriptions, Get-Subscription-Attributes, Get-Subscription-Attributes, Renew-Subscription, or Cancel-
 797 Subscription, even though [ipp-ntfy] requires all but the Create-Job-Subscriptions operation.

798 If a Receiver chooses to allow other IPP notification operations then it **SHOULD** provide a method of
 799 restricting all other notification operations to authenticated administrators.

800

Table 6 - Conformance for Printer Operations

Operation Name	IPP/1.1 Printer support	IPPFAX Sender support for a User	IPPFAX Receiver from a User	IPPFAX Receiver from an Operator	Reference
Print-Job	must	MUST	MUST	MUST	section Error! Reference source not found.
Get-Jobs	must	MUST NOT	MUST NOT	MUST	section 10.3
Get-Printer-Attributes	must	MUST	MUST	MUST	sections 5, 6

801

Legend:

802

813 The Receiver SHOULD restrict the job attributes that any Sender can request for any IPPFAX Job in a Get-
814 Jobs or a Get-Job-Attributes operation to appropriate ones for a public service. For example, a Receiver
815 MAY return only the following Job attributes:

816 job-id, job-uri
817 job-k-octets, job-k-octets-completed
818 job-media-sheets, job-media-sheets-completed,
819 time-at-creation, time-at-processing
820 job-state, job-state-reasons
821 **number-of-intervening-jobs – NOT!!!!**

822
823 The exact choice of Job attributes that a client can query for IPPFAX Jobs, including not returning any,
824 DEPENDS ON IMPLEMENTATION and the security policy in force and is outside the scope of this
825 standard (as in IPP/1.1).

826 This attribute set allows a client to determine the load on a Receiver (and perhaps choose an alternative
827 destination or warn the Sending User).

828 See the discussion in [RFC2911] section 8.4 for a description of how a Receiver MUST behave if it
829 receives a request for an attribute outside this set.

830 An IPP administrator MAY read all attributes.

831 **11 Security considerations**

832 **IPPFAX presents an interesting challenge of balancing security and openness.** Many of the envisaged uses
833 of IPPFAX require confidentiality of the data – at the same time the Receiver typically has no prior
834 knowledge of the Sender or the Sending User. This last point will normally rule out all user-based
835 authentication and access control. This is the reason for the restrictions placed on querying and canceling
836 IPPFAX Jobs.

837 **11.1 Data Integrity and authentication**

838 Any exchange between a Sender and a Receiver MUST be carried using the data integrity mechanism
839 specified in IPP/1.1 namely TLS/1.0 [RFC2246] or later versions of TLS.

840 A Receiver MUST have a TLS certificate and be authenticated by the sender.

841 A Sender MAY have a TLS certificate for client authentication. A Receiver MAY decide to reject
842 requests that come from Senders that do not have a TLS certificate and return the 'client-error-not-
843 authenticated' status code.

844 A Sender MAY use its own TLS certificate or it can use one associated with the Sending User.

845 A Receiver MUST have a TLS certificate, and the Send MUST have the public keys of the top level public
846 key Certificate Authorities (as current browsers do). If a Sender gets a public key from a Receiver that is
847 doesn't recognize, the Sender MUST resolve the unrecognized key or inform the Sending User that data
848 integrity has been lost and MUST abort the job.

849 The distribution of private keys to Senders or Receivers is outside the scope of this document, but if it is
850 done over the network, it MUST be over a secure channel. See Internet Key Exchange (IKE) [RFC2409].

851 **11.2 Data Privacy (encryption)**

852 A Sender MAY chose use data privacy (encryption) as defined in TLS/1.0 [RFC2246].

853
854**11.3 uri-authentication-supported (1setOf type2 keyword) ([RFC2911] section 4.4.2)**855 This attribute (see [RFC2911] section 4.4.2) identifies the Client Authentication mechanism associated
856 with each URI listed in the “printer-uri-supported” attribute (see section 6.1).

857

Table 8 - Authentication Requirements

“uri-authentication-supported” keyword	Sender support and usage	Receiver support and usage
none	MAY support and MAY use	MAY support and MAY use. If the ‘none’ value is supported by an implementation, then the administrator MUST be able to configure the Printer to not support the ‘none’ value (by means outside the scope of this document)
requesting-user-name	MUST NOT	MUST NOT
basic	MAY support and MAY use when the TLS channel is secured with Data Privacy using the cipher suites indicated below* or stronger	MAY support and MAY use when the TLS channel is secured with Data Privacy using the cipher suites indicated below* or stronger
digest	MUST support and MUST use, including the MD5 and MD5-sess algorithms and Message Integrity, unless using ‘certificate’ or ‘negotiate’	MUST support and MAY use, including the MD5 and MD5-sess algorithms and Message Integrity
certificate	SHOULD support and MAY use when not using any of the above	MUST support and MAY use. For this value, the Receiver MUST validate the certificate for all client requests

858 * TLS_DHE_DSS_WITH_3DES_EDE_CBC_SHA mandated by [RFC2246].

859 Table 9 compares the Digest Authentication requirements for IPP/1.1 clients, IPP/1.1 Printers, IPPFAX
860 Senders, and IPPFAX Receivers.

861 **Table 9 - Digest Authentication Conformance Requirements**

Feature	IPP/1.1 Client	IPP/1.1 Printer	IPPFAX Sender	IPPFAX Receiver
MD5 and MD5-sess	must support must use	should support should use	MUST support MUST use	MUST support MUST use
The Message Integrity feature	must support may use	should support may use	MUST support MUST use	MUST support MUST use

862

863 **11.4 uri-security-supported (1setOf type2 keyword)**
864 **([RFC2911] section 4.4.3)**

865 This attribute (see [RFC2911] section 4.4.3) identifies the security (Integrity and Privacy) mechanisms
866 used for each URI listed in the “printer-uri-supported” attribute (see section 6.1).

867 **Table 10 - Security (Integrity and Privacy) Requirements**

uri-security-supported	Sender support and usage	Receiver support and usage
none	MUST NOT	MUST NOT
ssl2	MUST NOT	MUST NOT
ssl3	MUST NOT	MUST NOT
tls	TLS Data Integrity - MUST support and MUST use	MUST support and MUST use
	TLS Data Privacy - MUST support and MAY use. The Sender (device) MUST query the Sending User (human) before omitting Privacy (encryption).	MUST support and MAY use

868

869 Table 11 compares the TLS conformance requirements for IPP/1.1 clients, IPP/1.1 Printers, IPPFAX
870 Senders, and IPPFAX Receivers.

871 **Table 11 - Transport Layer Security (TLS) Conformance Requirements**

TLS Feature	IPP/1.1 Client	IPP/1.1 Printer	IPPFAX Sender	IPPFAX Receiver
Server Authentication	must support should use	should support may use	MUST use	MUST support
Client Authentication*	may support may use	may support may use	SHOULD support	MUST support MAY use
Data Integrity	may support may use	should support should use	MUST use	MUST support
Data Privacy	may support may use	should support may use	MUST support MAY** use.	MUST support

872 * The 'certificate' keyword value for the "uri-authentication-supported" attribute [RFC2911].

873 ** The Sender MUST query the Sending User before omitting the Data Privacy encryption.

874 Senders and Receivers MUST support the TLS_DHE_DSS_WITH_3DES_EDE_CBC_SHA cipher suite as
875 mandated by RFC 2246 [RFC2246]. All stronger cipher suites are OPTIONAL; weaker cipher suites
876 MUST NOT be supported or used by Senders or Receivers.

877 A Receiver MAY support Basic Authentication (described in HTTP/1.1 [RFC2617]) for Client
878 Authentication if the TLS channel is secured with Data Privacy. TLS with the above mandated cipher suite
879 or stronger can provide such a secure channel.

880 **11.5 Using IPPFAX with TLS**

881 The Sender MUST use only TLS for all IPPFAX operations on the IPPFAX URL. The client MUST start
882 the transaction in TLS, rather than using HTTP upgrade requests. The following paragraph of [RFC2818]
883 further explains:

884 The agent acting as the HTTP client should also act as the TLS client. It should initiate a
885 connection to the server on the appropriate port and then send the TLS ClientHello to begin the TLS
886 handshake. When the TLS handshake has finished. The client may then initiate the first HTTP
887 request. All HTTP data MUST be sent as TLS "application data". Normal HTTP behavior,
888 including retained connections should be followed.

889 Contrast this IPPFAX requirement with the IPP requirement in section 8.2 of [RFC2910]. The following
890 client actions compare IPP with IPPFAX from a client's point of view:

- 891 IPP/1.1 sequence:
- 892 1. Start TCP connection
 - 893 2. Zero or more HTTP/IPP requests
 - 894 3. HTTP/IPP request with Upgrade to TLS header
 - 895 4. TLS handshake
 - 896 5. Finish the HTTP/IPP request securely
 - 897 6. Send more HTTP/IPP requests securely ...

- 898
- 899 IPPFAX sequence:
- 900 1. Start TCP connection
 - 901 2. Send TLS ClientHello
 - 902 3. Rest of TLS handshake
 - 903 4. Send HTTP/IPPFAX requests securely ... (which usually will be a Get-Printer-Attributes,
 - 904 followed by the Print-Job operation).

905

906

11.6 Access control

907 Needs re-writing

908 It is expected that the majority of IPPFAX Receivers will operate in a public mode when operating on the
 909 Internet, so that anonymous users can send documents without requiring client authentication
 910 (corresponding to the ‘none’ value for the “uri-authentication-supported” attribute - see section 11.3).
 911 However a Receiver MAY protect itself using any Client Authentication method specified in [RFC2911]
 912 (digest authentication [RFC2069] for example) to restrict access to any or all of its functionality.

913 However, the primary intent of IPPFAX is to create a controlled public access mode. It therefore does not
 914 really make much sense to combine IPPFAX and user authentication; they are achieving the same thing.

915 11.7 Reduced feature set

916 Needs re-writing

917 An administrator or device implementer MAY choose to setup up a Print Service so that it only works as an
 918 IPPFAX Receiver (i.e., offers no ‘native’ IPP operations and does not accept IPP Jobs). In this mode it
 919 offers a restricted set of features and MAY be more safely connected to the Internet.

920 A Receiver that is operating in this mode MUST do so by rejecting any non-IPPFAX request and return a
 921 ‘client-error-attributes-or-values-not-supported’ error status code as indicated in section 4.1 for an
 922 unsupported value of the “printer-uri” operation attribute. For job operations attempted on IPPFAX Jobs,

923 the Receiver MUST return the ‘client-error-not-authorized’ error status code, unless the Sender is
924 authenticated as the system administrator and the Receiver supports such access.

925 **12 Attribute Syntaxes**

926 No new attribute syntaxes are defined.

927 **13 Status codes**

928 No new Status codes are defined and semantics for existing status codes have not been modified.

929 .

930 **14 Conformance Requirements**

931 **Need to be re-worked.**

932 This section summarizes the conformance requirements for Senders and Receivers that are defined
933 elsewhere in this document.

- 934 1. A Sender and Receiver MUST observe the attribute name space conventions specified in section
935 1.3.
- 936 2. The Sender MUST supply and the Receiver MUST support (1) the “printer-uri” operation attribute
937 with the ‘ippfax’ scheme, (2) the “version-number” parameter with the IPP/1.1 ‘1.1’ (or higher
938 minor version) value, and (3) the “ippfax-version-number” operation attribute with the IPPFAX/1.0
939 ‘1.0’ keyword value in all operations to get the IPPFAX semantics as described in section 4.
- 940 3. The Receiver MUST support the Get-Printer-Attributes operation as described in sections 5.
- 941 4. The Receiver MUST support the Printer Description attributes as specified in section 6.
- 942 5. The Sender MUST validate that the target Printer is IPPFAX-capable using the Get-Printer-
943 Attributes operation and validate that the Receiver supports the job using the Validate-Job operation
944 as specified in section 7.
- 945 6. The Sender MUST supply and the Receiver MUST support the operation/Job Description attributes
946 for Identify Exchange as described in section 8.

- 947 7. The Sender MUST support submitting and the Receiver MUST accept IPPFAX Jobs as defined in
948 section 9.
- 949 8. The Sender MUST place the Sender's identity in the document according to section **Error!**
950 **Reference source not found.**
- 951 9. The Sender and Receiver MUST support the IPP Notification for Print-Job/Create-Job operations,
952 the 'ippget' Delivery Method, and the Get-Notifications operation for the events indicated in
953 sections **Error! Reference source not found., Error! Reference source not found.,** and **Error!**
954 **Reference source not found.,** respectively.
- 955 10. The Sender and Receiver MUST support the operations as indicated in section 10.
- 956 11. The Sender and Receiver MUST support the security mechanisms indicated in section 11, including
957 TLS.
- 958 The [set-ops], enable-printer and disable-printer operations MUST only be performed on a connection that
959 has been authenticated by TLS and the user has the rights to perform them.

960 **15 IPPFAX URL Scheme**

961 **Need to be re-worked to be consistent RFC 3510**

962 **Need to register a port with IANA for IPPFax.**

963 This section is intended for use in registering the 'ippfax' URL scheme with IANA and fully conforms to
964 the requirements in [RFC2717].

965 **15.1 IPPFAX URL Scheme Applicability and Intended** 966 **Usage**

967 This document defines the 'ippfax' URL (Uniform Resource Locator) scheme for specifying the location of
968 an IPPFAX Receiver which implements the IPPFAX Protocol specified in this document.

969 The 'ippfax' URL scheme defined in this document is based on the ABNF for the basic hierarchical URL
970 syntax in [RFC2396]; however relative URL forms, parameters, and/or query parts are NOT allowed in an
971 IPPFAX URL. The 'ippfax' URL scheme is case-insensitive in the host name or host address part;
972 however the path part is case-sensitive, as in [RFC2396]. Codepoints outside [US-ASCII] MUST be hex
973 escaped by the mechanism defined in [RFC2396].

974 The intended usage of the 'ippfax' URL scheme is COMMON.

975

15.2 IPPFAX URL Scheme Associated IPPFAX Port

976 All IPPFAX URLs which do NOT explicitly specify a port MUST be used over IANA-assigned well-
977 known port **xxx [TBA by IANA]** for the IPPFAX Protocol.

978 See: IANA Port Numbers Registry [IANA-PORTREG].

979

15.3 IPPFAX URL Scheme Associated MIME Type

980 All IPPFAX protocol operations (requests and responses) MUST be conveyed in an ‘application/ipp’
981 MIME media type [RFC2910] as registered in [IANA-MT]. IPPFAX URLs MUST refer to IPPFAX
982 Receivers which support this ‘application/ipp’ operation encoding.

983 See: IANA MIME Media Types Registry [IANA-MT].

984

15.4 IPPFAX URL Scheme Character Encoding

985 The IPPFAX URL scheme defined in this document is based on the ABNF for the HTTP URL scheme
986 defined in HTTP/1.1 [RFC2616], which is derived from the URI Generic Syntax [RFC2396] and further
987 updated by [RFC2732] and [RFC2373] (for IPv6 addresses in URLs). The IPPFAX URL scheme is case-
988 insensitive in the ‘scheme’ and ‘host’ (host name or host address) part; however, the ‘abs_path’ part is
989 case-sensitive, as in [RFC2396]. Code points outside [US-ASCII] MUST be hex escaped by the
990 mechanism specified in [RFC2396].

991

15.5 IPPFAX URL Scheme Syntax in ABNF

992 The IPP protocol places a limit of 1023 octets (NOT characters) on the length of a URI (see section 4.1.5
993 ‘uri’ in [RFC2911]). An IPPFAX Receiver MUST return ‘client-error-request-value-too-long’ (see section
994 13.1.4.10 in [RFC2911]) when a URI received in a request is too long.

995 Note: IPPFAX Receivers ought to be cautious about depending on URI lengths above 255 bytes, because
996 some older client or proxy implementations might not properly support these lengths.

997 IPPFAX URLs MUST be represented in absolute form. Absolute URLs always begin with a scheme name
998 followed by a colon. For definitive information on URL syntax and semantics, see “Uniform Resource
999 Identifiers (URI): Generic Syntax and Semantics” [RFC2396]. This specification adopts the definitions of
1000 “port”, “host”, “abs_path”, and “query” from [RFC2396], as updated by [RFC2732] and [RFC2373] (for
1001 IPv6 addresses in URLs).

1002 The IPPFAX URL scheme syntax in ABNF is as follows:

1066
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1069 ftp://ftp.pwg.org/pub/pwg/ipp/new_JOBX/wd-ippjobx10-20030518.pdf, work in progress.

1070

1071 **17.2 Informative**

1072
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1075 <ftp://ftp.pwg.org/pub/pwg/QUALDOCS/requirements/ifx-transport-requirements-01.pdf>.

1076
1077

1078 [RFC2542]
1079 Masinter , "Terminology and Goals for Internet Fax", RFC2542.

1080 [RFC3380]
1081 Kugler, C, Hastings, T., Lewis, H., "Internet Printing Protocol (IPP): Job and Printer Administrative
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1083 [RFC 3382]
1084 deBry, R., , Hastings, T., Herriot, R., "Internet Printing Protocol (IPP): collection attribute
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1086 [ipp-get-method]
1087 Herriot, Kugler, and Lewis, "The 'ippget' Delivery Method for Event Notifications" , <draft-ietf-
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1101 Hastings, T., and R. Bergman, “Internet Printing Protocol (IPP): output-bin attribute extension”,
1102 IEEE-ISTO 5100.2-2001, February 7, 2001, <ftp://ftp.pwg.org/pub/pwg/standards/pwg5100.2.pdf>.
- 1103 [ipp-prod-print]
1104 Ocke, K., Hastings, T., “Internet Printing Protocol (IPP): Production Printing Attributes - Set1”,
1105 IEEE-ISTO 5100.3-2001, February 12, 2001, <ftp://ftp.pwg.org/pub/pwg/standards/pwg5100.3.pdf>.
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1107 Hastings, Herriot, Kugler, and Lewis, “Job and Printer Set Operations”, <draft-ietf-ipp-job-printer-
1108 set-ops-05.txt>, August 28, 2001.
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1110 Herriot, McDonald, “IPP URL Scheme”, <draft-ietf-ipp-url-scheme-03.txt>, April 3, 2001.
- 1111 [pwg-media]
1112 Bergman, Hastings, “Media Standardized Names”, work in progress, when approved:
1113 <ftp://ftp.pwg.org/pub/pwg/standards/pwg5101.1.pdf>; current draft:
1114 <ftp://ftp.pwg.org/pub/pwg/media-sizes/pwg-media-12.pdf>, September 24, 2001.
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1164 Contact Information:

1165

1166 IPPFAX Web Page: <http://www.pwg.org/qualdocs/>1167 IPPFAX Mailing List: ifx@pwg.org

1168

1169 To subscribe to the IPPFAX mailing list, send the following email:

1170 1) send it to majordomo@pwg.org

1171 2) leave the subject line blank

1172 3) put the following two lines in the message body:

1173 subscribe ifx

1174 end

1175

1176 Implementers of this specification document are encouraged to join the IPPFAX Mailing List in order
 1177 to participate in any discussions of clarification issues and review of registration proposals for
 1178 additional attributes and values. In order to reduce spam the mailing list rejects mail from non-
 1179 subscribers, so you must subscribe to the mailing list in order to send a question or comment to the
 1180 mailing list.

1181

1182 Other Participants:

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1. Appendix A:

1185 **19 Appendix B: vCard Example**1186 **Update the example**

1187 The following ASCII text is a complete vCard v3.0 [RFC2426, RFC2425] example:

```

1188 BEGIN:VCARD
1189 VERSION:3.0
1190 N:Moore;Paul
1191 FN:Paul Moore
1192 ORG:Netreon
1193 TEL;CELL;VOICE:1+206-251-7008
1194 ADR;WORK;;;10900 NE 8th St;Bellvue;WA;98004;United States of America
1195 EMAIL;PREF;INTERNET:pmoore@netreon.com
1196 REV:19991207T215341Z
1197 END:VCARD
1198
1199

```

1200 **20 Revision History (to be removed when standard is approved)**

Revision	Date	Author	Notes
1	1/16/01	Paul Moore, Netreon	Initial version
2	2/27/01	Paul Moore, Gail Songer, Netreon	Specify TLS as MUST Removed Cover page and combined device Added need for big text types
3	4/11/01	Gail Songer, Netreon	Move attribute definition to first reference
4	5/24/01	Tom Hastings	Editorially updated the document to follow the style of the IPP standard documents. Added 23 issues to be reviewed. Capitalized the special terms throughout without showing revisions in order to make the document with revisions more readable.
5	5/21/01	Tom Hastings, John	Updated from the 6/6/01 telecon agreements on most

		Pulera, Ira McDonald	of the 23 issues. There are 20 issues remaining, mostly new.
6	7/27/01	Tom Hastings, Ira McDonald	Updated from the 6/29/01 telecon. There are 41 issues remaining, mostly new.
7	10/8/01	Tom Hastings, Ira McDonald	Updated with all the resolutions to the 41 ISSUES from the August 1, 2001 IPPFAX WG meeting in Toronto, and the subsequent telecons: August, 9, 14, and 17, 2001. There are 4 (new) issues remaining.
8	11/17/01	Tom Hastings	Updated with the agreements from the IPPFAX WG meeting, 10/24/01, Texas. See minutes. There are 5 issues remaining.
9	12/31/01	Tom Hastings	Updated with the agreements reached at the 12/14/01 telecon.
10	2/19/02	Tom Hastings	Updated with the agreements reached as the 2/5/02 IPPFAX WG meeting. There are no remaining issues.
11	9/20/02	Tom Hastings	Replaced all occurrences of UIF with PDFax and uif with PDFax.
12	10/16/02 10/24/02	Rick Seeler Gail Songer	Updated to reflect PDF/is as file format. Replace CONNEG with UPDF. Attributes for OPTIONAL PDF/is functionality.
13	11/22/02	Rick Seeler	Replaced 'PDFax' with 'PDF/is' or 'pdfis'. Updated spec to match 0.3 PDF/is specification.
14	03/18/03	Gail Songer	Removed pdfis-profile-requested and pdfis-profile-supported and pdfis-profiles; all image formats are required Removed pdfis-cache-size-k-octets (now fixed value) Removed pdfis-banding-direction-supported Started to split references into two sections, "normative" and "informative" and update descriptions to references Other editorial changes
15	03/24/03	Gail Songer	Added digital-signatures-supported. Added pdf-format and pdf-format supported. Put "coloring" back to optional. Removed PDF data encryption (leave for a future version of PDF/is and IPPFax)
16		Gail Songer Dennis Carney	Remove all references to coloring Changed pdf-format to document-format-version Remove the requirement that [set-ops] supports

			document-format coloring (we only allow document-format==PDF) ALL admin operations require TLS to have authenticated the user and the user has admin rights Other editorial changes
17	05/21/03 05/28/03	Dennis Carney Tom Hastings	Editorial updates Added new 'choice_iso_a4_210x297mm_na_letter_8.5x11in' value for "media" and a reference to [jobx]. Fixed conformance for "media-ready".
18	10/03 11/03	Gail Songer	Reviewed in light of the Requirements specification. Noted lots of places in which the document MUST be changed.

1201

1202

1203 **Allow Cancel-job for Administrators.**1204 **Remove Notifications**1205 **Make sure that A4 and Letter are use the complete name**