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The Printer Working Group Standard for PDF Image-Streamable Format – “PDF/is”

(Formerly “PDFax”)

Proposed Standard - Working Draft
510n.y-P0.5



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19 December 2002

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The Printer Working Group Standard for PDF Image-Streamable Format (PDF/is) Proposed Standard - Working Draft 510n.y-P0.5

40 **Abstract:** This standard specifies a subset of PDF (Portable Document Format) 1.4
41 known as the PDF Image-Streamable Format (PDF/is) by formally defining a series of
42 PDF/is “profiles” distinguished primarily by the method of image compression employed
43 and color space used.
44 In summary PDF/is is an image document format intended for use by, but not limited to,
45 the IPPFAX protocol, which is used to provide a synchronous, reliable exchange of
46 image Documents between Senders and Receivers. PDF/is makes reference to the
47 PDF 1.4 Reference [pdf], which describes the PDF representation of image data
48 specified by the ITU-T Recommendations for black-and-white facsimile (see [t.4], [t.6]),
49 the ISO/IEC Specifications for Digital Compression and Coding of Continuous-Tone Still
50 Images (see [jpeg]), and Lossy/Lossless Coding of Bi-Level Images (see [jbig2]), and
51 the general purpose Flate compression methods (see [rfc1950] and [rfc1951]).
52
53

54 This document is available electronically at:

55
56 <ftp://pwg.org/pub/pwg/QUALDOCS/pwg-ix-pdfis-P05-021219.pdf>, .doc

57 A version showing the changes from the previous version is available at:

58 <ftp://pwg.org/pub/pwg/QUALDOCS/pwg-ix-pdfis-P05-021219-rev.pdf>

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110 server developers, operating system providers, network operating systems providers, network
111 connectivity vendors, and print management application developers. The group is chartered to
112 make printers and the applications and operating systems supporting them work together better.
113 All references to the PWG in this document implicitly mean "The Printer Working Group, a
114 Program of the IEEE ISTO." In order to meet this objective, the PWG will document the results of
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116 conventions. Printer manufacturers and vendors of printer related software will benefit from the
117 interoperability provided by voluntary conformance to these standards.

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119 competent, has multiple, independent and interoperable implementations with substantial
120 operational experience, and enjoys significant public support.

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129 2) leave the subject line blank

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132 end

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134 participate in any discussions of clarifications or review of registration proposals for additional
135 names. Requests for additional media names, for inclusion in this specification, should be sent to
136 the IFX Mailing list for consideration.

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216

217 1 Introduction

218 In summary, PDF/is is a raster image data format intended for use by, but not limited to, the
219 IPPFAX protocol. IPPFAX is used to provide a synchronous, reliable exchange of image
220 Documents between Senders and Receivers. PDF/is makes reference to the PDF 1.4
221 specification [pdf], which describes the PDF (Portable Document Format) representation of image
222 data specified by the ITU-T Recommendations for black-and-white facsimile (see [t.4], [t.6]), the
223 ISO/IEC Specifications for Digital Compression and Coding of Continuous-Tone Still Images (see
224 [jpeg]), and Lossy/Lossless Coding of Bi-Level Images (see [jbig2]), and the general purpose
225 Flate compression methods (see [rfc1950] and [rfc1951]).
226

227 PDF/is is an image-only, streamable, subset specification of PDF 1.4 [pdf] and, as such, follows
228 all of the specification requirements of PDF.
229

230 As a streamable version of PDF, it is not required that a Consumer of a PDF/is document be able
231 to randomly access the PDF. The format has been adopted in such a way as to allow a
232 Consumer the ability to read the PDF/is document from the beginning to end without the
233 necessity to cache more data than is necessary to print the current page with some exceptions,
234 as noted.
235

236 If a Document adhering to this specification is not encrypted (does not Implement Profiles 'STD-
237 ENC' nor 'PPK-ENC') it will Implement a conforming subset of the "PDF/X-3" specification (See
238 [pdf-x3]) for use in digital prepress data exchange.

239 2 Terminology

240 This section defines terminology used throughout this document.

241 2.1 Conformance Terminology

242 Capitalized terms, such as **MUST**, **MUST NOT**, **REQUIRED**, **SHOULD**, **SHOULD NOT**, **MAY**,
243 **NEED NOT**, **OPTIONAL**, and **PROHIBITED**, have special meaning relating to conformance as
244 defined in RFC 2119 [rfc2119] and [rfc2911] section 12.1. If an implementation supports the
245 extension defined in this document, then these terms apply; otherwise, they do not. These terms
246 define conformance to *this document (and [rfc2911]) only*; they do not affect conformance to
247 other documents, unless explicitly stated otherwise. To be more specific:

248 **REQUIRED (REQ)** - an adjective used to indicate that a conforming PDF/is Producer or
249 Consumer's implementation **MUST** support the indicated operation, object, attribute, or attribute
250 value. See [rfc2911] "Appendix A - Terminology for a definition of "support".

251 **RECOMMENDED (REC)** - an adjective used to indicate that a conforming PDF/is Producer or
252 Consumer's implementation **SHOULD** support the indicated operation, object, attribute, or
253 attribute value.

254 **OPTIONAL (OPT)** - an adjective used to indicate that a conforming PDF/is Producer or
255 Consumer's implementation **MAY** support the indicated operation, object, attribute, or attribute
256 value.

257 **PROHIBITED (PROH)** - an adjective used to indicate that a conforming PDF/is Producer or
258 Consumer's implementation MUST NOT support the indicated operation, object, attribute, or
259 attribute value.

260 **IGNORED** – an adjective used to indicate that a conforming PDF/is Producer or Consumer
261 implementation NEED NOT support the indicated operation, object, attribute, or attribute value;
262 but this feature MAY be added to a future version of this specification.

263 **AS SPECIFIED** – is used to indicate that a conforming PDF/is Producer or Render
264 implementation MUST, MAY, or MUST NOT support the indicated operation, object, attribute, or
265 attribute value as is defined in the indicated specification.

266 **OR** – a conjunction that specifies a logical 'or', implying that a choice of one or more of the
267 choices specified.

268 **XOR** – a conjunction that specifies a logical 'exclusive or', implying that a choice of one and only
269 one of the choices specified.

270 **2.2 Other Terminology**

271 The following terms are introduced and capitalized in order to indicate their specific meaning:

272

273 **Implement** – The specified feature is present in the Document.

274

275 **Support** – A Producer has the capability of Implementing the feature specified, or the Consumer
276 has the capability of understanding and acting on the Implementation.

277

278 **Document** – The PDF/is-formatted electronic representation of a set of one or more pages that
279 the Sender sends to the Receiver.

280

281 **Consumer** – This is the agent (software, hardware or some combination) that converts the
282 Document into a displayed or printed form.

283 **Producer** -- This is the agent (software, hardware or some combination) that creates the
284 Document.

285 **Interpolation** – See 'Interpolation' in [pdf] pg. 273.

286 **Forward-Reference** – In indirect object reference (See [pdf] Section 3.2.9) to an object that
287 appears later in the Document.

288 **Cache** – Consumer's storage, either memory, disk, or the like, to hold Document data as it's
289 received from the Producer.

290 **Page-Relative Objects** – Objects that are indirectly referenced (See [pdf] Section 3.2.9) by either
291 a 'Page' object or through a chain of object references that start with a reference from a 'Page'
292 object.

293 **Discarded** – An adjective that describes a PDF object. An object is 'Discarded' when the
294 Consumer no longer has access to the data within the object in question.

295 3 PDF/is Support

296 3.1 Profiles

297 3.1.1 Image Profiles

298 The following table defines the Profile names used to describe various image compression filters
299 and techniques.

300

Table 3-1: Image Profiles

Profile	Image Implementation	Reference
<G4>	'CCITTFaxDecode' Filter	[pdf] Section 3.3.5
<FLATE>	'FlateDecode' Filter	[pdf] Section 3.3.3
<JBIG2>	'JBIG2Decode' Filter	[pdf] Section 3.3.6
<MASK>	Masked Images	[pdf] Section 4.8.5
<JPEG>	'DCTDecode' Filter	[pdf] Section 3.3.7
<JP2K>	JPEG2000 Filter	Not Currently Supported

301

302

303 3.1.2 Security Profiles

304 There are several options that MAY be Supported by a Producer or Consumer with regard to
305 security:

306

Table 3-2: Security Profiles

Profile	Security Implementation	Reference
<STD-ENC>	'Standard' Encryption	[pdf] Section 3.5.2
<PPK-ENC>	PPK Encryption	[pdf-ppk] Section 3
<DIG-SIG>	Digital Signature	[pdf-ppk] Section 2.2

307

308 3.1.3 Color Profiles

309

Table 3-3: Color Profiles

Profile	Color Space Implementation	Reference
<GRAY>	'DeviceGray'	[pdf] Page 182
<RGB>	'DeviceRGB'	[pdf] Page 184
<LAB>	'Lab'	[pdf] Page 187
<ICC>	'ICCBased'	[pdf] Page 189
<IDX>	'Indexed'	[pdf] Page 199

310

311

312 3.2 PDF Object Requirements

313 For the table shown below, if an Object/Filter is not Implemented then its associated Profile is not
314 Implemented.

- 315 Key:
- 316 **Producer:** Producer Requirement.
- 317 **Consumer:** Consumer Requirement.
- 318 **Profile:** If the indicated 'PDF Object/Filter' is Implemented then the Document Implements the
- 319 indicated Profile.
- 320 **Dependencies:** In order to Implement the 'PDF Object/Filter' the Profiles indicated in the
- 321 Dependencies column MUST also be implemented. Note that a comma ',' in this column
- 322 indicates an 'and'.

323

Table 3-4: PDF Object Requirements

PDF Object/Filter	Producer	Consumer	Reference
'ASCIIHexDecode' Filter	PROH	PROH	[pdf] Section (3.3.1)
'ASCII85Decode' Filter	PROH	PROH	[pdf] Section (3.3.2)
'LZWDecode' Filter	PROH	PROH	[pdf] Section (3.3.3)
'RunLengthDecode' Filter	PROH	PROH	[pdf] Section (3.3.4)
Incremental Updates	PROH	PROH	[pdf] Section (3.4.5)
Functions	PROH	PROH	[pdf] Section (3.9)
Files	PROH	PROH	[pdf] Section (3.10)
Graphics State	PROH	PROH	[pdf] Section (4.3)
Path objects	PROH	PROH	[pdf] Section (4.4)
'DeviceGray' Color Space	PROH	PROH	[pdf] Section (4.5.3)
'DeviceRGB' Color Space	PROH	PROH	[pdf] Section (4.5.3)
'DeviceCMYK' Color Space	PROH	PROH	[pdf] Section (4.5.3)
Pattern Color Space	PROH	PROH	[pdf] Section (4.5.5)
Separation Color Space	PROH	PROH	[pdf] Section (4.5.5)
DeviceN Color Space	PROH	PROH	[pdf] Section (4.5.5)
Pattern Objects	PROH	PROH	[pdf] Section (4.6)
Inline Image Objects	PROH	PROH	[pdf] Section (4.8.6)
Form Xobjects	PROH	PROH	[pdf] Section (4.9)
Postscript Xobjects	PROH	PROH	[pdf] Section (4.10)
Text Objects	PROH	PROH	[pdf] Section (5)
Transparency	PROH	PROH	[pdf] Section (7)
'CCITTFaxDecode' Filter (Image Profile <G4>)	REQ	REQ	[pdf] Section (3.3.5)
File Header	REQ	REQ	[pdf] Section (3.4.1)
Cross-Reference Table	REQ	REQ	[pdf] Section (3.4.3)
File Trailer	REQ	REQ	[pdf] Section (3.4.4)
Document Catalog	REQ	REQ	[pdf] Section (3.6.1)
Page Tree Nodes	REQ	REQ	[pdf] Section (3.6.2)
Page Objects	REQ	REQ	[pdf] Section (3.6.2)
Content Streams	REQ	REQ	[pdf] Section (3.7.1)
Resource Dictionaries	REQ	REQ	[pdf] Section (3.7.2)
Image XObjects	REQ	REQ	[pdf] Section (4.8)
'FlateDecode' Filter (Image Profile <FLATE>)	OPT	REQ	[pdf] Section (3.3.3)
'JBIG2Decode' Filter (Image Profile <JBIG2>)	OPT	OPT	[pdf] Section (3.3.6)
'DCTDecode' Filter (Image Profile <JPEG>)	OPT	REQ	[pdf] Section (3.3.7)
Encryption Dictionary	OPT	OPT	[pdf] Section (3.5)
'Standard' Encryption (Security Profile <STD-ENC>)			

Encryption Dictionary PPK Encryption (Security Profile <PPK-ENC>)	OPT	OPT	[pdf-ppk] Section (3)
' DeviceGray ' Color Space (Color Profile <GRAY>)	OPT	REQ	[pdf] pg. 182
' DeviceRGB ' Color Space (Color Profile <RGB>)	OPT	REQ	[pdf] pg. 184
' Lab ' Color Space (Color Profile <LAB>)	OPT	REQ	[pdf] pg. 187
' ICCBased ' Color Space (Color Profile <ICC>)	OPT	OPT	[pdf] pg. 189
' Indexed ' Color Space (Color Profile <IDX>)	OPT	REQ	[pdf] pg. 199
Masked Images (Image Profile <MASK>)	OPT	REQ	[pdf] Section (4.8.5)
Interactive Form Dictionary and Annotation Field Dictionary and Signature Dictionary (Security Profile <DIG-SIG>)	OPT	OPT	[pdf] Section (8.6.1-3) [pdf-ppk] Section (2)
Cached Objects	OPT	REQ	Section 3.4
Tiling	REQ	REQ	Section 3.3.11.3

324

325 **3.3 PDF Field Specification**

326 The following list describes the object field values of the REQUIRED and OPTIONAL PDF
327 objects in PDF/Is. The numbers in '()'s refer to section numbers in the PDF Specifications
328 [pdf], unless otherwise noted. 'AS SPECIFIED' refers to [pdf] unless otherwise noted.
329

330 **3.3.1 'PDF/Is' object**

331 A new 'PDF Name Registry' (See [pdf] – Appendix E) object that is REQUIRED for a PDF/Is
332 document. The existence of this dictionary object is the one and only way to determine if the PDF
333 in question is a PDF/Is. Spec:

334

Table 3-5: PDF/Is Object

Field	Type	Specification
'Fis_Profiles'	Array of Numeric Objects	REQUIRED: An array consisting of [MAJ_VER MIN_VER IMAGES SECURITY MEMORY]
'Encrypt'	Dictionary	MUST have same value as 'Encrypt' field in the 'Document Trailer'. See [pdf] table 3.12 for specification.
'Root'	Dictionary	MUST have same value as 'Root' field in the 'Document Trailer'. See [pdf] Table 3.12 for specification.
'Info'	Dictionary	MUST have same value as 'Info' field in the 'Document Trailer'. See [pdf] Table 3.12 for specification.
'ID'	Array	MUST have same value as 'ID' field in the 'Document Trailer'. See [pdf] Table 3.12 for specification.
'Fis_NextPage'	Dictionary	REQUIRED: An Indirect Object Reference to the first 'Page' object.

335

336 See [pdf] Section 3.2.5 for definition of an 'Array Object'. See [pdf] Section 3.2.2 for definition
337 of a 'Numeric Object'.

338 **3.3.1.1 Fis_Profiles Key**339 **3.3.1.1.1 MAJ_VER:**

340 The 'major' version number of this PDF/Is specification to which the Producer conforms to
341 at the time the Document was created. The 'major' version of this specification is
342 currently '0'.

343 **3.3.1.1.2 MIN_VER:**

344 The 'minor' version number of this PDF/Is specification to which the Producer conforms to
 345 at the time the Document was created. The 'minor' version of this specification is
 346 currently '5'.

347 **3.3.1.1.3 IMAGES, SECURITY:**

348 Each value in the array MUST be a 'Numeric Integer Object' (See [pdf] Section 3.2.2) that
 349 is the sum of all of the Integer equivalents of the binary 'Bit Positions' for the Profiles that
 350 are Implemented in the Document, as indicated under the appropriate section below.
 351 The 'Bit Positions' are numbered from 1 (low-order) to 32 (high-order). A '1' in a 'Bit
 352 Position' indicates the Profile is indicated. All other Bit Positions for each element MUST
 353 be 0. Note that PDF Numeric Integer Objects in fact are represented in signed two's-
 354 complement form.

355
 356 For example, to indicate that the IMAGES Profiles 'FLATE' (bit position 3 or 100 binary)
 357 and 'MASK' (bit position 5, or 10000 binary), the value of '20' (10100 binary) should be
 358 used as the value for the 'IMAGES' field.

359
 360 The Producer of the Document MUST NOT Implement a Profile that is not indicated in
 361 this field. The Producer of the Document MAY Implement all Profiles indicated in this
 362 field, but is NOT REQUIRED.

363 Rationale: Since this object must be Implemented at the beginning of the
 364 Document, it may not be known for certain which Profiles will be Implemented.
 365 This field is an advisory indicator to a Consumer as to which Profiles they MUST
 366 Support in order to be able to render the Document for certain. If all Profiles
 367 indicated are not Supported, the Document may still be rendered if a non-
 368 Supported Profile is indicated but is not actually Implemented in the Document.

369 Note that even though a Profile is higher in the Image Profile tree it SHOULD NOT be
 370 indicated in this object unless that feature is Implemented in the document. For example,
 371 if the document contained 'FLATE' (FlateDecode) images but no 'JPEG' (DCTDecode)
 372 images, only Profile 'FLATE' should be indicated.

373

374 **Table 3-6: PDF/Is Object 'IMAGES' Element**

Profile	Bit Position
<JBIG2>	1
<JP2K>	2

375 **Table 3-7: PDF/Is Object 'SECURITY' Element**

Profile	Bit Position
<STD-ENC>	1
<PPK-ENC>	2
<DIG-SIG>	3

378

379 **3.3.1.1.4 MEMORY:**

380 A 'Numeric Object' that is the decimal value of the minimum amount of cache memory
 381 the Consumer will need to cache all objects necessary to render any particular page.

382 This memory MUST be available for PDF/is data file caching and MUST not be part of
 383 any image processing or page buffer memory.

384 The value specified for 'MEMORY' is in Megabytes and is in addition to a base memory
 385 requirement of 2 Megabytes (2²¹ bytes).

386 The value of the memory requirement MUST be agreed upon between the Producer and
 387 the Consumer before the Document is generated. This value is usually the minimum of
 388 the cache memory available to either the Producer or the Consumer. The usage of this
 389 memory is to cache objects as specified in the "Object Lifetime" section of this
 390 specification. It should be noted that an 'Image XObjects' data stream typically won't be
 391 'cached' into this memory since these streams can often be rendered into a page buffer
 392 as they are received, even if masked. This is true since all image masks and color profile
 393 data MUST occur in the Document before the 'Image XObject's that reference them.

394 3.3.1.1.5 Example

395 An example of the PDF/is object for a Document containing a CalRGB color space (Profile
 396 <RGB>), masked (Profile <MASK>), JPEG image (Profile <JPEG>) that's Standard
 397 encrypted (Profile <STD-ENC>) would look like this:

```
398     1 0 obj
399     <<
400         /Fis_Profiles [0 5 24 0]
401         /Encrypt 2 0 R
402         /Root 3 0 R
403         /Info 4 0 R
404         /Fis_NextPage 5 0 R
405     >>
406     endobj
407
```

408 3.3.2 'FlateDecode' Filter

409 See [pdf] Section 3.3.3, [rfc1950], and [rfc1951].

410 **Table 3-8: FlateDecode Filter**

Field	Specification
<All Fields>	AS SPECIFIED

411

412 3.3.3 'CCITTFaxDecode' Filter

413 See [pdf] Section 3.3.5, [t.4], and [t.6]. Note that only Group 4 images are Supported by PDF/is,
 414 see 'K', below.

415 **Table 3-9: CCITTFaxDecode Filter**

Field	Specification
'K'	MUST have a value of -1.
'EndOfLine'	AS SPECIFIED
'EncodedByteAlign'	AS SPECIFIED
'Columns'	AS SPECIFIED
'Rows'	AS SPECIFIED
'EndOfBlock'	AS SPECIFIED

'BlackIs1'	AS SPECIFIED
'DamagedRowsBeforeError'	AS SPECIFIED

416

417 **3.3.4 'JBIG2Decode' Filter**

418 See [pdf] Section 3.3.6, [jbig2], and [t.89].

419

Table 3-10: JBIG2Decode Filter

Field	Specification
<All Details>	AS SPECIFIED, except as noted below.

420

- 421 • The Producer MUST Implement ONLY JBIG2 **Profile 1** (0x00000101 BASE) OR **Profile**
- 422 **4** (0x00000104 Medium lossy/lossless arithmetic) of [t.89].
- 423 • All Consumers MUST support at least “Level 2” Memory (See [t.89], Table 1, Item 18).
- 424 • The Producer MUST adhere to the Function and Memory constraints as specified in
- 425 [t.89].

426

427 **3.3.5 'DCTDecode' Filter**

428 See <http://partners.adobe.com/asn/developer/acrosdk/docs/filefmtspecs/PDFReference.pdf>[pdf]

429 Section 3.3.7, [ps-jpeg], [ps], and [jpeg]. PDF/is supports both the JPEG Baseline DCT and

430 Extended sequential DCT compressed image formats.

431

Table 3-11: DCTDecode Filter

Field	Specification
<All Details>	AS SPECIFIED, except as noted below.

432

- 433 • Images MUST NOT have interleaved scans.
- 434 • Images MUST NOT be encoded using 'Progressive JPEG'.
- 435 • The Consumer MUST adhere to the Memory requirements specified in Section 11 “RAM
- 436 Requirements” of [ps-jpeg] for the Consumers Supported image resolution(s).

437 **3.3.6 File Trailer**

438 See [pdf] Table 3.12.

439

Table 3-12: File Trailer

Field	Specification
'Size'	AS SPECIFIED
'Prev'	PROHIBITED
'Root'	AS SPECIFIED
'Encrypt'	AS SPECIFIED, but PROHIBITED if the Document is to be PDF/X-3 Compliant (See

	[pdf-x3]).
'Info'	REQUIRED.
'ID'	REQUIRED. MUST use a pseudo-random number in place of 'File Size' when generating this value. See [pdf] Section 9.3 for guidelines on how to generate this value. Rationale: Using a random number in place of file size is due to the requirements of using this field in generating the encryption key for the 'standard encryption' algorithm ([pdf] Step 5 of Algorithm 3.2, pg. 78): file size will not be known at the time this field is needed.

440

441 **3.3.7 Encryption Dictionary**

442 See [pdf] Table 3.13 and [pdf-ppk] Table 3.

443

444 Note that if a Document is Standard encrypted (Profile <STD-ENC>), the 'ID' field of the [File](#)
 445 [Trailer](#) MUST be calculated before the Encryption Dictionary is written. The 'ID' MUST then be
 446 cached until the 'File Trailer' is written.

447

Table 3-13: Encryption Dictionary

Field	Specification
'Filter'	REQUIRED: MUST have a value of 'Standard' if <STD-ENC> is Implemented, otherwise AS SPECIFIED.
'V'	MUST have a value of '2'.
'Length'	AS SPECIFIED
'R'	AS SPECIFIED
'O'	REQ if <STD-ENC>, PROH otherwise
'U'	REQ if <STD-ENC>, PROH otherwise
'P'	REQ if <STD-ENC>, PROH otherwise
'SubFilter'	MUST be 'adbe.pkcs7.s4' if <PPK-ENC>, PROH otherwise
'Recipients'	REQ if <STD-ENC>, PROH otherwise

448

449 **3.3.8 Document Catalog**

450 See [pdf] Table 3.16.

451

Table 3-14: Document Catalog

Field	Specification
'Type'	AS SPECIFIED
'Version'	AS SPECIFIED
'Pages'	AS SPECIFIED
'PageLabels'	IGNORED
'Names'	IGNORED.
'Dests'	IGNORED.
'ViewerPreferences'	IGNORED.
'PageLayout'	IGNORED.
'PageMode'	IGNORED.
'Outlines'	IGNORED.
'Threads'	IGNORED.
'OpenAction'	IGNORED.
'AA'	IGNORED.

'URI'	IGNORED.
'AcroForm'	REQ if <DIG-SIG>, PROH otherwise
'Metadata'	IGNORED.
'StructTreeRoot'	IGNORED.
'MarkInfo'	AS SPECIFIED., See below.
'Lang'	IGNORED.
'SpiderInfo'	IGNORED.
'OutputIntents'	PROHIBITED.

452

453 'Tagged PDF' ([pdf] Section 9.7) MAY be used to enter searchable text in a Document. A
 454 Producer MAY apply Optical Character Recognition (OCR) on the images of each page in a
 455 Document to generate searchable text. Since 'Tagged PDF' information can be used for
 456 Document searching and does not affect printed output, its usage is OPTIONAL for the Producer
 457 and MAY be IGNORED by a conforming Consumer.

458 3.3.9 Page Tree Nodes

459 See [pdf] Table 3.17.

460

Table 3-15: Page Tree Nodes

Field	Specification
'Type'	AS SPECIFIED
'Parent'	AS SPECIFIED
'Kids'	AS SPECIFIED
'Count'	AS SPECIFIED
<All 'Page Object' Fields, see [pdf] Table 3.18>	PROHIBITED

461

462 3.3.10 Page Objects

463 See [pdf] Table 3.18.

464

Table 3-16: Page Objects

Field	Specification
'Type'	AS SPECIFIED
'Parent'	AS SPECIFIED
'LastModified'	AS SPECIFIED
'Resources'	MUST NOT be inherited
'MediaBox'	MUST NOT be inherited
'CropBox'	MUST NOT be inherited. If Present, the TrimBox MUST NOT extend beyond the boundaries of the CropBox.
'BleedBox'	AS SPECIFIED. If Present, the TrimBox MUST NOT extend beyond the boundaries of the BleedBox.
'TrimBox'	REQUIRED.
'ArtBox'	PROHIBITED.
'BoxColorInfo'	PROHIBITED.
'Contents'	AS SPECIFIED.
'Rotate'	MUST NOT be inherited
'Group'	PROHIBITED.
'Thumb'	IGNORED.
'B'	IGNORED.
'Dur'	IGNORED.

'Trans'	IGNORED.
'Annots'	IGNORED.
'AA'	IGNORED.
'Metadata'	IGNORED.
'PieceInfo'	IGNORED.
'StructParents'	IGNORED.
'ID'	IGNORED.
'PZ'	IGNORED.
'SeparationInfo'	PROHIBITED.
'Fis_NextPage'	REQUIRED: An Indirect Object Reference to the next 'Page' object or a 'Page Node' if this is the last page.

465

466 The size of the current page can be determined by the value of the 'MediaBox'. The value
 467 associated with 'MediaBox' is an array of the coordinates of the page rectangle in default user
 468 space units (1/72 of an inch). An 8.5 X 11 inch page, oriented Portrait, would be:

469 /MediaBox [0 0 612 792]

470 3.3.11 Content Stream Operators

471 See [pdf] Table 4.1. A conforming Consumer MUST be able to parse the Content Stream
 472 operators listed below, but only must be able to act upon the operators that are not listed as
 473 IGNORED.

474

Table 3-17: Content Stream Operators

Operators	Specification	Reference
'q'	AS SPECIFIED	[pdf] Table 4.7
'Q'	AS SPECIFIED	[pdf] Table 4.7
'cm'	MUST be [Sx 0 0 Sy Tx Ty], See Below	[pdf] Table 4.7
'Do'	AS SPECIFIED	[pdf] Table 4.34
'MP'	IGNORED	[pdf] Table 9.8
'DP'	IGNORED except for 'Tiling operator' and 'Cache operator', see below	[pdf] Table 9.8
'BMC'	IGNORED	[pdf] Table 9.8
'BDC'	IGNORED	[pdf] Table 9.8
'EMC'	IGNORED	[pdf] Table 9.8
'BX'	AS SPECIFIED	[pdf] Table 3.20
'EX'	AS SPECIFIED	[pdf] Table 3.20
<All elements between a 'BMC' or 'BDC' and an 'EMC'>	IGNORED	[pdf] Table 9.8
<All other Operators>	PROHIBITED	

475

476 3.3.11.1 cm:

477 See [pdf] Table 4.7 for definition of 'cm' operator.

478 Given:

479 W_i = Width (X-direction) of the Image in inches.

480 H_i = Height (Y-direction) of the Image in inches.

481 X_i = Horizontal translation, in inches, from the left edge of the page to the top of the
482 image.

483 Y_i = Vertical translation, in inches, from the top edge of the page to the top of the image.

484

485 The Producer MUST ensure that the following is true:

486 $S_x = W_i * 72$

487 $S_y = H_i * 72$

488 $T_x = X_i * 72$

489 $T_y = Y_i * 72$

490

491 3.3.11.2 Do:

492 See [pdf] Table 4.34 for definition of 'Do' operator.

493 Given:

494 Img = The 'ImageXObject' associated with the 'Do' operator.

495 Cm = The current 'cm' operation in effect for 'Img'.

496 W_p = 'Width' field of 'Img'.

497 H_p = 'Height' field of 'Img'.

498 S_x = 'Sx' value of 'Cm'.

499 S_y = 'Sy' value of 'Cm'.

500

501 The following MAY be assumed by either the Producer or the Consumer:

502 $R_x = (W_p * 72 / S_x)$ = The resolution, in the X-direction, of 'Img', in dots per inch.

503 $R_y = (H_p * 72 / S_y)$ = The resolution, in the Y-direction, of 'Img', in dots per inch.

504

505 3.3.11.3 DP:

506 See [pdf] Table 9.8 for a definition of the 'DP' Operator.

507 The only 'Marked Content' flags that are not ignored in a PDF/is Document are the 'Tiling
508 Operator' and the 'Cache operator'.

509 3.3.11.3.1 The Tiling Operator:

510 Tiling facilitates the creation of a complex series of images on a PDF/is page to a
511 Producer or Consumer that may be memory constrained and unable to otherwise create

512 or display the page. If the Producer of the Document is able to determine that the current
 513 page will violate the [cache memory](#) constraints of the Consumer; the Consumer MUST
 514 break up the current page into non-overlapping regions to be displayed (Tiling) or free up
 515 resources using the 'Cache Operator' (see below). Tiling is specified in the [content](#)
 516 [stream](#) of the page. Tiling indicates that all previous images or masks in the stream up to
 517 the "Tiling operator" do not overlay, and are not overlaid by, any images or masks that
 518 follow in the stream.

519
 520 To indicate that a new 'tile' is beginning, the content stream MUST contain the following
 521 operator syntax, exactly as shown:

522 **/Fis_tile <</Fis_tile [X Y]>> DP**

523
 524 Where:

525 **X**: The user-space relative direction with regard to the X-axis that will not be overlapped.

526 **Y**: The user-space relative direction with regard to the Y-axis that will not be overlapped.

527 **X** and **Y** MUST only have values of '-1', '0', or '1'.

528
 529 A value of '0' indicates that the entire width of this axis will not be overlapped by images
 530 and masks to follow in the content stream. This value would be used if each 'Tile' were a
 531 full width 'band' of the page or it was the last tile on a row or column. For example, if a
 532 band spanned the width (the X axis) of the page, the 'X' value should be '0'.

533
 534 A value of '-1' indicates that all remaining images and masks in the content stream have
 535 lesser values of this axis. For example, for a band that spanned the top of a page, the 'Y'
 536 value would be '-1' (since Y values decrease as you move down the page).

537
 538 A value of '1' indicates that all remaining images and masks in the content stream have
 539 greater value for this axis. For example, for a band that spanned the left edge of a page,
 540 the 'X' value would '1' (X axis values increase as you move to the right).

541
 542 It should be noted that tiles may progress from the top to the bottom, bottom to top, left to
 543 right, or right to left as necessary. The order and progression of the Tiles SHOULD be
 544 determined by either the capabilities of the Producer or the Consumer. The specification
 545 of how this should be done is outside the scope of this specification.

546
 547 See the following examples to help illuminate this feature. The shaded area is the area
 548 that is specified to be non-overlapping by the parameters of the /Fis_tile operator of the
 549 tile in **Bold**. The number before the colon is the order in which the tile appears in the
 550 content stream.

551
 552 Example #1, Tile #1 is detailed:

1: [1, -1]	2: [0, -1]
3: [0, -1]	4: [0, 0]

553
 554 Example #2:

3: [1, 0]	6: [1, 0]	9: [0, 0]
2: [1, 1]	5: [1, 1]	8: [0, 1]
1: [1, 1]	4: [1, 1]	7: [0, 1]

555

556 Example #3:

1: [0, -1]
2: [0, -1]
3: [0, 0]

557

558

559

560

561

562

563

564

A 'Tile Operator' MUST only occur between displayed images on a page, and MUST NOT occur at the beginning and/or end of the content stream. A 'Tile Operator' occurring immediately before any **Do** operators in the content stream MUST be IGNORED. A 'Tile Operator' that occurs after all **Do** operators MUST also be IGNORED.

565

566

567

To illustrate this feature:

A page with two tiles, each tile running across the page, might have a content stream that looks like this:

568

569

570

571

572

573

574

575

```
500 0 0 100 25 767 cm % region of first 'tile'. 500 units wide, 100 units high,
                        % 25 units from top left corner (page is 11" tall, 792 units high).
/lm1 Do                % Display image in first band.
/Fis_tile <</Fis_tile [0 -1]>> DP% 'Tile Operator' .
500 0 0 100 25 667 cm % Second region, does not overlap first band-- notice Y offset of
                        % 667 does not overlap bottom of first band.
/lm2 Do                % Display image in second band.
```

576

3.3.11.3.2 The Cache Operator:

577

578

579

580

581

582

583

584

The 'Cache Operator' allows the Producer of the Document to specify that certain 'cached' objects (See 'Cached Objects' section in this specification) may be released from the cache at a certain point in the content stream. See 'Cache Release' section in this document for use of this operation. This operation would allow a Consumer to Discard specified objects to free resources for image operations. This operator has the following syntax:

```
/Fis_cache <</Fis_cache [OBJECTS]>> DP
```

585

3.3.12 Resource Dictionaries

586

587

See [pdf] Table 3.21.

588

589

590

The Resource Dictionary MUST reference all Image XObjects and ColorSpaces that are used on the current page. The position of the image objects, their masks, and color spaces with respect to each other is defined in the Image XObject section of this specification.

591

Table 3-18: Resource Dictionaries

Field	Specification
'ExtGState'	PROHIBITED.
'ColorSpace'	AS SPECIFIED.
'Pattern'	PROHIBITED.
'Shading'	PROHIBITED.
'XObject'	AS SPECIFIED.
'Font'	PROHIBITED.
'ProcSet'	'Text' Proc Sets PROHIBITED, all others AS SPECIFIED.

'Properties'	IGNORED.
--------------	----------

592

593 **3.3.13 Color Spaces**

594 See [pdf] Section 4.5.

595

Table 3-19: Color Spaces

Field	Specification
'Lab'	AS SPECIFIED
'DeviceGray'	AS SPECIFIED
'DeviceRGB'	AS SPECIFIED, but see below.
'DeviceCMYK'	PROHIBITED
'CalGray'	PROHIBITED
'CalRGB'	PROHIBITED
'ICCBased'	AS SPECIFIED, but see below.
'Indexed'	AS SPECIFIED, but see below.
'Pattern'	PROHIBITED
'Separation'	PROHIBITED
'DeviceN'	PROHIBITED

596

597 **3.3.13.1 DeviceRGB Color Space**

598 The Producers who uses 'DeviceRGB' color space, and Consumers that interpret them,
 599 SHOULD Implement the color values assuming 'DeviceRGB' to be the 'sRGB' standard IEC
 600 61966-2-1 (1999-10) (See [srgb]).

601

602 **3.3.13.2 ICCBased Color Space**

603 See [pdf] Table 4.16

604 Note that to minimize ICC profile data size, **FlateDecode** Filter compression MAY be used.

605 It should also be noted that a Document with an ICCBased color space can be decoded by a

606 Consumer that does not support ICCBased color spaces. In this case, the Consumer should use
 607 the 'Alternate' color space as defined by the Field of the same name.

608

609

Table 3-20: ICCBased Color Space

Field	Specification
'N'	MUST be either '1' or '3'.
'Alternate'	MUST be either '/DeviceGray', '/DeviceRGB', or '/Lab'
'Range'	AS SPECIFIED.
'Metadata'	AS SPECIFIED.

610

611 **3.3.13.3 Indexed Color Space**

612 An Index may be applied to any other supported color space, although it has limited value when
 613 applied to 'DeviceGray'. The Producer of a Document that used an Indexed color space MAY
 614 apply the **FlateDecode** filter to the color space data to minimize data size.

615 **3.3.14 Image XObjects**

616

617 See [pdf] Table 4.35 for description of the following table.

618

Table 3-21: Image XObjects

Field	Specification
'Type'	MUST be 'XObject'
'Subtype'	MUST be 'Image'
'Width'	AS SPECIFIED
'Height'	AS SPECIFIED
'ColorSpace'	AS SPECIFIED, and see below.
'BitsPerComponent'	AS SPECIFIED
'Intent'	PROHIBITED.
'ImageMask'	AS SPECIFIED, if Profile <MASK>
'Mask'	AS SPECIFIED, if Profile <MASK>, and see below.
'SMask'	PROHIBITED.
'Decode'	AS SPECIFIED.
'Interpolate'	MUST be 'true'
'Alternates'	IGNORED
'Name'	IGNORED.
'StructParent'	IGNORED.
'ID'	IGNORED.
'OPI'	PROHIBITED.
'Metadata'	IGNORED.

619

620

621

- An 'ImageMask', if indicated in an Image XObject, MUST appear in the Document before the Image XObject that references it.

622

623

624

- If an 'ICCBased' or 'Indexed' color space is indicated in an Image XObject, the data for the color space MUST appear in the Document before the Image XObject that references it.

625

626 **3.3.15 Masked Images**

627

See [pdf] Section 4.8.5.

628

Table 3-22: Masked Images

Field	Specification
<All Fields>	AS SPECIFIED

629

630 **3.3.16 Interactive Form Dictionary**

631

See [pdf] Table 8.47.

632

Table 3-23: Interactive Form Dictionary

Field	Specification
'Fields'	MUST be an indirect object of an 'Annotation Field Dictionary'.
'NeedAppearances'	PROHIBITED
'SigFlags'	MUST be '3'
'CO'	PROHIBITED
'DR'	PROHIBITED
'DA'	PROHIBITED
'Q'	PROHIBITED

633

634 **3.3.17 Annotation Field Dictionary**

635 See [pdf] Tables 8.10 & 8.49. This dictionary consists of entries from both a 'Annotation
636 Dictionary (Table 8.10) and a 'Field Dictionary' (Table 8.49).

637

Table 3-24: Annotation Field Dictionary

Field	Specification
'Type'	MUST be 'Annot'
'Subtype'	MUST be 'Widget'
'Contents'	IGNORED
'P'	IGNORED
'Rect'	MUST be '[0 0 0 0]'
'NM'	IGNORED
'F'	IGNORED
'BS'	IGNORED
'Border'	IGNORED
'AP'	IGNORED
'AS'	IGNORED
'C'	IGNORED
'CA'	IGNORED
'T'	IGNORED
'Popup'	IGNORED
'A'	IGNORED
'AA'	IGNORED
'StructParent'	IGNORED
'FT'	MUST be 'Sig'
'Parent'	PROHIBITED.
'Kids'	PROHIBITED.
'T'	AS SPECIFIED.
'TU'	AS SPECIFIED.
'TM'	IGNORED.
'Ff'	MUST be '1'.
'V'	MUST be an indirect object to a 'Signature Dictionary'.
'DV'	IGNORED.
'AA'	IGNORED.

638

639

640 **3.3.18 Signature Dictionary**

641 See [pdf] Table 8.60 and [pdf-ppk] Table 2.

642 The Digital Signature format MUST only be in the 'Raw Format', see [pdf-ppk] Section 2.2.

643 **Table 3-25: Signature Dictionary**

Field	Specification
'Type'	MUST be 'Sig'
'Filter'	AS SPECIFIED.
'SubFilter'	MUST be 'adbe.x509.rsa_sha1'
'Name'	AS SPECIFIED.
'Reason'	AS SPECIFIED.
'Location'	AS SPECIFIED.
'M'	AS SPECIFIED.
'ByteRange'	PROHIBITED (Implies all bytes in the Document with the exclusion of the bytes represented by the value of the 'Cert' field. See [pdf] for this field)
'Contents'	AS SPECIFIED.
'Cert'	AS SPECIFIED.
'R'	AS SPECIFIED.
'V'	AS SPECIFIED.
'ADBE_Build'	AS SPECIFIED.
'ADBE_AuthType'	AS SPECIFIED.
'ADBE_PwdTime'	AS SPECIFIED.

644

645 **3.3.19 Document Information Dictionary**

646 See [pdf] Table 9.2.

647 **Table 3-26: Document Information Dictionary**

Field	Specification
'Title'	REQUIRED*
'Author'	REQUIRED*
'Subject'	AS SPECIFIED
'Keywords'	AS SPECIFIED
'Producer'	AS SPECIFIED
'Producer'	AS SPECIFIED
'CreationDate'	REQUIRED*
'ModDate'	REQUIRED*
'Trapped'	REQUIRED, MUST be either 'TRUE' or 'FALSE'. Partially Trapped files are PROHIBITED.
'GTS_PDFXVersion'	PROHIBITED if Profile <STD-ENC> or <PPK-ENC> is Implemented; otherwise MUST be "(PDF/X-3:2002)"

648 *Some fields in this object are required due to the specification of PDF/X-3 (See [pdf-x3]).

649

650 **3.4 Object Lifetime**

651 Some Consumer's may be limited in the amount of storage they may have to cache the
 652 Document as it's received from the Producer. This storage limitation may prohibit the Consumer
 653 from holding the entire Document before beginning to render the first page. To facilitate this

654 storage constraint, PDF/is has a mechanism of “object lifetime”. This mechanism defines how
655 long an object must be held in storage before it is no longer needed.

656

657 If a Document can be fully maintained in the Consumer’s storage, the Document’s Cross-
658 Reference table should be used to access objects as they are needed. In this case, the
659 Consumer should follow the parsing model as spelled out in the PDF Reference [pdf].

660

661 If a Document cannot be fully maintained within the Consumers storage, the Document MUST be
662 linearly parsed and the following parsing rules MUST be adhered to:

663

- 664 1) Documents MUST be parsed in order, from beginning to end.
- 665 2) The first object, the “PDF/is” object MUST never be Discarded.
- 666 3) All non-IGNORED objects that are referenced from other Cached objects MUST not be
667 Discarded.
- 668 4) All Cached non-Page-Relative Objects (See Terminology) MUST be not be Discarded
669 until the Document rendering is complete.
- 670 5) All ‘Page-Relative’ Objects MUST NOT be Discarded until the next ‘Page’ object or the
671 ‘Document Catalog’ is reached; unless the object is held in the ‘Cache Hold’ (See next
672 section). This also implies that all rendering of the current page MUST be complete
673 before “reaching” the next ‘Page’ object or the ‘Document Catalog’.
- 674 6) If rendering of a “Band” (See Section 3.3.11.3) is complete, objects that are referenced in
675 the ‘content stream’ of the completed ‘band’ may be Discarded, if the object is not
676 referenced in the remainder of the ‘content stream’ and is not ‘Cached’ (See next
677 section).

678 3.5 Cached Objects

679 If a ‘Page-Relative’ object MAY be used on more than one page, it will be necessary to specify
680 the object as ‘Cached’. Once an object is cached, it no longer has to abide by ‘Object Lifetime’
681 requirements 5 and 6. This will allow an object to be used throughout the Document that
682 otherwise would be discarded.

683 An object that is held in the Consumers cache by the ‘Cache Hold’ mechanism MUST be
684 maintained in the cache until one of the following conditions is met:

685 The ‘Cache Release’ mechanism is invoked.

686 The ‘Document Catalog’ is reached.

687 3.5.1 Cache Hold

688 To specify that an object should not be discarded once the current page is rendered, the object to
689 be ‘cached’ should have the following ‘Dictionary Object’ (See [pdf] Section 3.2.6):

690 /Fis_Cache []

691 3.5.2 Cache Release

692 To release an object from the Consumer’s memory; the following ‘Dictionary Object’ MUST be
693 placed in the ‘Page Object’ of the first page in which the object is no longer needed. For
694 example, if the object is question was first found on page 1 and was last used on page 3, the
695 ‘Cache Release’ should occur in the ‘Page Object’ for page 4.

696

697 /Fis_Cache [OBJECTS]

698 Where:

699 OBJECTS: is an array (contained in ‘[]’s) of indirect object references of the objects that were
700 previously cached and are no longer needed. Indication of an object number that was never
701 cached MUST be ignored.

```

702 Example:
703     3 0 obj
704     <<
705     /Fis_Cache []           %First object to be cached.
706     ...
707     >>
708     endobj
709     ...
710     7 0 obj                 %Second object to be cached.
711     <<
712     /Fis_Cache []
713     ...
714     >>
715     endobj
716     ...                     %One or more Page objects in between.
717     45 0 obj
718     <<
719     /Type /Page             %Page object
720     /Fis_Cache [3 0 R 7 0 R] %Objects 3 and 7 are no longer needed.
721     ...
722     >>
723     endobj
724

```

725 4 Conformance Requirements

726 This section specifies the conformance requirements for Consumers and Producers.

727 4.1 Producer conformance requirements

728 In order to conform to this specification, a Document Producer:

- 729 1. MUST specify the version of PDF (See [pdf] Section 3.4.1) as being 'PDF 1.4'.
- 730 2. MUST place the 'PDF/is' object as the first object in the PDF.
- 731 3. MUST place any 'Encryption Dictionary' object as the second object in the PDF/is
732 Document, if the Document is encrypted.
- 733 4. MUST NOT include any private 'PDF Name Registry' values/objects (See [pdf] –
734 Appendix E) that affect printed output.
- 735 5. MUST place the objects: 'Interactive Form Dictionary', 'Field Dictionary' and 'Digital
736 Signature' object as the last three objects (in that order) in the Document, if the
737 Document is Digitally Signed. Note that in a situation where the Consumer cannot cache
738 the entire document before rendering, the detection of a valid or invalid Digital Signature
739 will only occur after rendering of the entire Document.
- 740 6. MUST ensure that there is at least one Forward-Reference to each object. The only
741 object that does not have to follow this rule is the '[PDF/is Object](#)'. Rationale: This will aid
742 the Consumer with knowing which objects will need to be cached and which can be
743 ignored.

- 744 7. MUST ensure that all objects appear in the PDF AFTER the object in which they are first
 745 referenced (Satisfied by Requirement 6) and BEFORE the next 'Page Object' unless the
 746 object is a Cached Object (See Section 3.4).
- 747 8. MUST ensure that all object identifiers ([pdf] Section 3.2.9) start at the beginning of a line.
- 748 9. MUST ensure that all 'endobj' keywords ([pdf] Section 3.2.9) start at the beginning of a
 749 line.
- 750 10. MUST ensure that all 'stream' data ([pdf] Section 3.2.7) does not contain a line beginning
 751 with the word "endstream", aside from the required "endstream" that delimits the end of
 752 the stream.

753 4.2 Consumer conformance requirements

754 In order to conform to this specification, a Document Consumer:

- 755 1. MUST Support all of the REQUIRED PDF/is objects.
- 756 2. MUST Interpolate images up or down in resolution, as required, to properly match the
 757 Documents image resolution(s) to the Consumer's device capabilities.
- 758 3. MAY ignore all IGNORED objects that the Producer added to the PDF/is Document.
- 759 4. MUST indicate to the Producer, which OPTIONAL features the Consumer Supports.
- 760 5. MUST abide by the "Object Lifetime" rules in Section 3.5 if unable to Cache the entire
 761 Document.

762 4.3 File Layout

763 Given that a Document is fully compliant with this specification, a PDF/is Document will,
 764 nominally, take on the following format:

765

Table 4-1: File Layout

	Object
A	'PDF/is' object.
B	Encryption Object (if Profile <STD-ENC> XOR <PPK-ENC>)
C	Document Information Dictionary
D	Page object for page 1
E	Resources for page 1
F	Content object for page 1
G	Color Space(s) for page 1
H	Image Mask(s) for page 1
I	Image XObject(s) for page 1
J	[Repeat D – I for all remaining pages, in order]
K	Document Catalog
L	Page Node(s)
M	Interactive Form Dictionary (if Profile <DIG-SIG>)
N	Annotation Field Dictionary (if Profile <SIG-SIG>)

O	Signature Dictionary (if Profile <DIG-SIG>)
P	File Trailer

766

767 5 Issues

768 1) In the interest of blind-interchange, should JBIG2 rendering support be required of all
769 consumers?

770 The only other 'Optional' features in the spec, as it now stands are:

771 A) Standard Encryption.

772 B) PPK Encryption.

773 C) Digital Signaturing.

774 Here are my feelings on each of these:

775 A - May require licensing of RC4 encryption software. Standard encryption requires a
776 target device that can query and take a password as input: this may not be practical for all types
777 of devices. This should remain an option.

778 B - May require licensing of encryption software. PPK encryption requires that the
779 consumer have a public key that the producer can retrieve via IPP. A 'profile' isn't necessary for
780 this feature: if the producer is unable to get the consumer's public key, the producer will not be
781 able to use this feature.

782 C - A Digital Signature may be applied to any document. The consumer doesn't have to
783 validate the signature if they don't wish to, or are not able to do so.

784

785 2) Should the 'DeviceRGB' color space be defined to be some version of sRGB?

786

787 3) Should we "hard code" a buffer size for the memory cache value (Section 3.3.1.1.4)?

788

789 4) A proposal from Xerox that I'm not sure I can answer right now:

790 "General comment about DID and Annotation fields, and the possibility

791 of using one or the other as a mechanism for including a "fax transmit

792 header" or sender-uri value, per Sec. 9.5 in IPPFAX 1.0 Protocol Draft.

793 Right now the recommendation is to burn it into the image data, but the

794 DID or Annotation field could be used for this attribute value--consider

795 text to this effect in 3.3.19 or 3.3.17."

796 6 Sample PDF/is PDFs

797 The 'source' of all of the sample documents in this section can be viewed with any text editor but
798 should only be modified with a binary editor, as the stream data contained therein is not
799 compatible with text editors. Comments on the format of the documents are contained within the
800 documents themselves.

801

802 All of the samples are different versions of the same document.

803

804 1: The first sample is an unencrypted, single page, 'CCITTFaxDecode' masked, 'DCTDecode'
805 color ICCBased color space foreground image with a 'FlateDecode' gray scale Indexed
806 ICCBased color space background image. The images use 'FlateDecode' compression on the
807 'ICCBased' and 'Indexed' Color Spaces.

808 <ftp://pwg.org/pub/pwg/QUALDOCS/SamplePDFFax/base-02.pdf>

809

810 2: The next sample has been encrypted with 'Standard' encryption. The 'user' password is
811 '12345'; the 'owner' password is '54321'. The document has also been Digitally Signed: the

812 document will fail a digital signature check since it has been tampered with. To see the digital
813 signature in Acrobat (or Acrobat Reader), select the 'Signature' tab on the left side of the screen.
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815

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880 9 Revision History (to be removed when standard is approved)

Revision	Date	Author	Notes
1	10/9/02	Rick Seeler, Adobe Systems	Initial version
2	10/23/02	Rick Seeler, Adobe Systems	
3	11/19/02	Rick Seeler, Adobe Systems	
4	11/22/02	Rick Seeler, Adobe Systems	

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887

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898 **13 Appendix A**

899 **13.1 Intellectual Property Statement – Adobe Systems Incorporated**

900 The following statement is in addition to the Intellectual Property Statement in the PDF Reference (See
901 [pdf] Section 1.4).

902

903 **Patent Clarification Notice Specific to Use of PDF for IPP G4 Protocol**

904

905 Adobe has a number of patents covering technology that is disclosed in the Portable Document Format
906 (PDF) Specification, version 1.4 and later, as documented in PDF Reference and associated Technical
907 Notes (the “PDF Specification”). Adobe desires to promote the use of PDF as the file format for a future,
908 IPP G4 Protocol to be proposed, recommended, finalized and published by the IEEE Printer Working
909 Group (the “IPP G4 Standard”).

910

911 This Patent Clarification Notice is in addition to the permissions statement set forth in Section 1.4 of the
912 PDF Reference which shall also apply to Adobe’s contribution to the IPP G4 Standard.

913

914 Accordingly, Adobe agrees to provide a Royalty Free License to all Essential Claims solely for the purpose
915 of implementing the IPP G4 Standard. Adobe and the IEEE Printer Working Group will identify and
916 establish, within the final, published release of the IPP G4 Standard, a process whereby implementers of the
917 IPP G4 Standard can request and obtain the above license.

918

919 No license shall be extended to those implementing only draft versions of the IPP G4 Standard.

920

921 A “Royalty Free License” shall mean a license that:

922

- 923 i) shall be available to all implementers of the IPP G4 Standard worldwide, whether or not
- 924 members of the IEEE Printer Working Group;
- 925 ii) shall extend to all Essential Claims owned or controlled by Adobe and its Affiliates;
- 926 iii) shall not be conditioned on payment of royalties, fees or other consideration except as
- 927 described in (iv) and (v) below;
- 928 iv) may be conditioned on a grant of a reciprocal license on identical terms to all Essential
- 929 Claims owned or controlled by the licensee and its Affiliates; and
- 930 v) may include reasonable, customary terms relating to operation or maintenance of the license

931 relationship including but not limited to the following: choice of law, dispute resolution, and
932 patent notices.

933

934 “Essential Claims” shall mean all claims in any patent or patent application, in any jurisdiction in the
935 world, that (A) Adobe and/or its Affiliates own and (B) that would be necessarily infringed by
936 implementation of the IPP G4 Standard. A claim is necessarily infringed hereunder only when a licensee
937 can prove that it is not possible to avoid infringing it because there is no non-infringing alternative for
938 implementing the required portions of the IPP G4 Standard. Existence of a non-infringing alternative shall
939 be judged based on the state of the art at the time a licensee implements the IPP G4 Standard.

940

941 The following are expressly excluded from and shall not be deemed to constitute Essential Claims:

942

943 1) any claims other than as set forth above even if contained in the same patent as Essential Claims;
944 and

945

946 2) claims that would be infringed only by
947 a) portions of an implementation that are not required by the IPP G4 Standard

948

949 b) enabling technologies that may be necessary to make or use any product or portion thereof
950 that complies with the IPP G4 Standard but are not themselves expressly set forth in the IPP
951 G4 Standard; or

952

953 c) the implementation of technology developed elsewhere and merely incorporated by reference
954 into the IPP G4 Standard.

955

956 For purposes of the Essential Claims definition, the “IPP G4 Standard” shall be deemed to include only
957 architectural and interoperability requirements and shall not include any implementation examples or any
958 other material that merely illustrates the requirements of the IPP G4 Standard.

959

957 An “Affiliate” of a first entity is a second entity that is controlled (greater than 50%) by, in control of, or
958 under common control with the first entity.

959